



# **More Complex SQL Retrieval Queries**

- Additional features allow users to specify more complex retrievals from database:
  - Nested queries
  - Joined tables
  - Outer joins
  - Aggregate functions
  - Grouping



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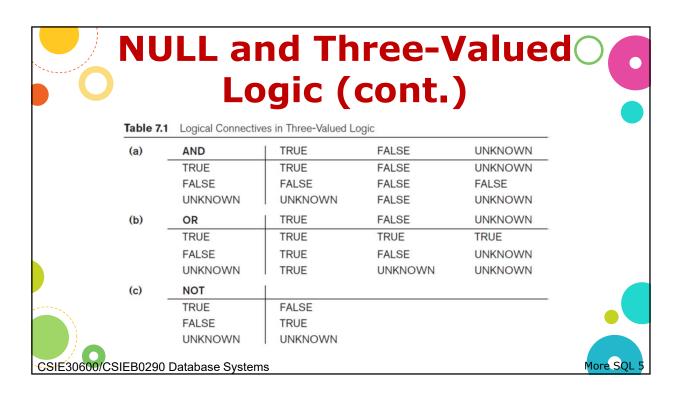




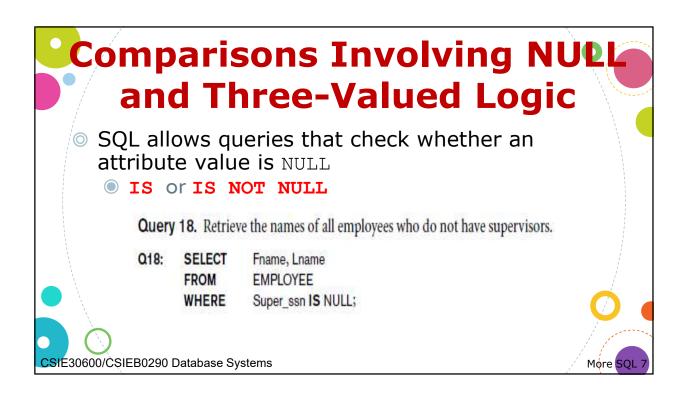
- Unknown value
- Unavailable or withheld value
- Not applicable attribute
- Each individual NULL value considered to be different from every other NULL value
- SQL uses a three-valued logic:
  - TRUE, FALSE, and UNKNOWN

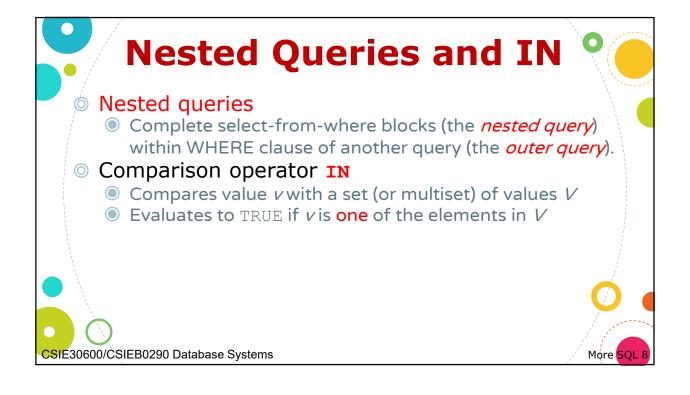


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# **Nesting of Queries**



 Query: Retrieve the name and address of all employees who work for the 'Research' or 'Sales' department.

Q: SELECT FNAME, LNAME, ADDRESS FROM EMPLOYEE WHERE DNO IN

(SELECT DNUMBER
FROM DEPARTMENT
WHERE DNAME='Research' OR
DNAME='Sales');

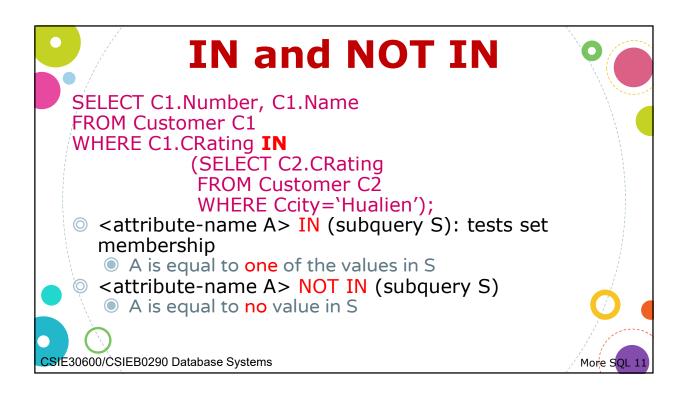
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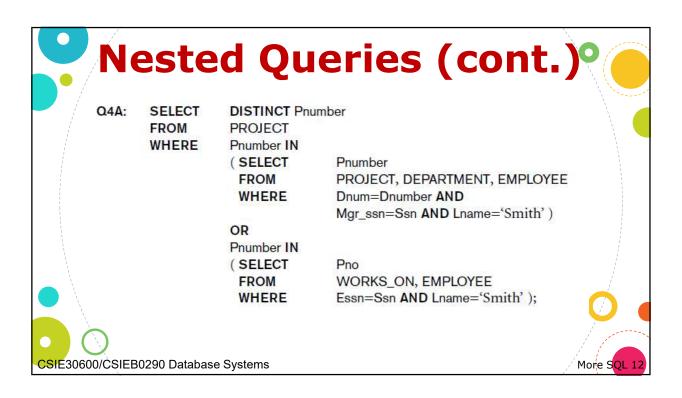
More SQL

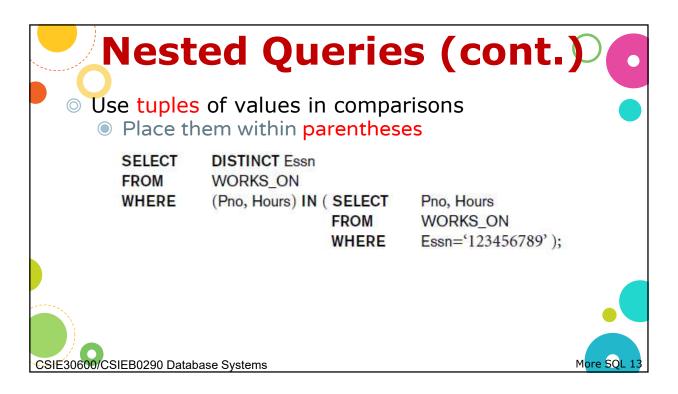
# Nesting of Queries (cont.)

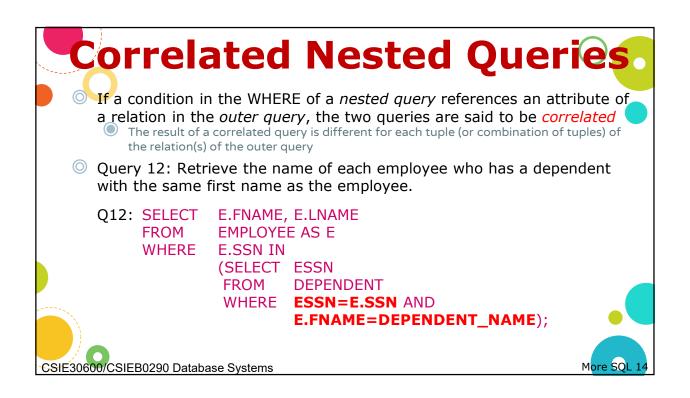
- The nested query selects the numbers of the 'Research' and 'Sale' departments.
- The outer query select an EMPLOYEE tuple if its DNO value is in the result of the nested query.
- $\bigcirc$  The comparison operator IN compares a value  $\nu$  with a set (or multi-set) of values V, and evaluates to TRUE if  $\nu$  is one of the elements in V.
- In general, we can have several levels of nesting.
- A reference to an unqualified attribute refers to the relation declared in the innermost nested query.
- In this example, the nested query is not correlated with the outer query.

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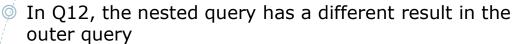








# **Correlated Nested Queries**



 A query written with nested SELECT-FROM-WHERE blocks and using the = or IN operators can always be expressed as a single block query.

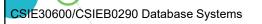
For example, Q12 may be written as in Q12A

Q12A: SELECT E.FNAME, E.LNAME

FROM EMPLOYEE E, DEPENDENT D

WHERE E.SSN=D.ESSN AND

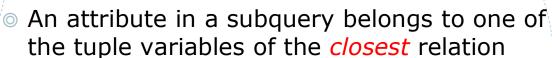
E.FNAME=D.DEPENDENT\_NAME;



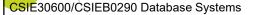




# Correlated Subqueries: Scoping



- In general, an attribute in a subquery belongs to one of the tuple variables in that subquery's FROM clause
- If not, look at the immediately surrounding subquery, then to the one surrounding that, and so on.



# **Nested Queries**



The FROM clause takes a relation, but results of SQL queries are themselves relations, so we can use them in the FROM clause, too!

SELECT (N.CRating+1) AS CIncrRating

(SELECT \* FROM Customer FROM

WHERE CRating = 0) AS N

N.CBalance = 0: WHERE

This can often be a more elegant way to write a query, but will be slower. Why?





# **EXISTS and UNIQUE Functions**



- EXISTS function
  - Check whether the result of a correlated nested query is empty or not
- O EXISTS and NOT EXISTS
  - Typically used in conjunction with a correlated nested query
- O UNIQUE (Q) function
  - Returns TRUE if there are no duplicate tuples in the result of query Q

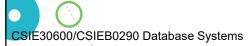
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## **EXISTS Function**

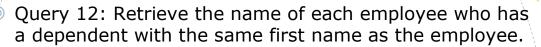


- EXISTS is used to check whether the result of a correlated nested query is empty (contains no tuples) or not
- We can formulate Query 12 in an alternative form that uses EXISTS as Q12B (next slide)





# **EXISTS Function(cont.)**



Q12B: SELECT FNAME, LNAME FROM EMPLOYEE

WHERE **EXISTS** 

(SELECT \*

FROM DEPENDENT

WHERE SSN=ESSN AND

FNAME=DEPENDENT\_NAME

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### **NOT EXISTS**

0

Query 6: Retrieve the names of employees who have no dependents.

Q6: SELECT FNAME, LNAME

FROM EMPLOYEE
WHERE NOT EXISTS

( SELECT \* FROM DEPENDENT WHERE SSN=ESSN );

In Q6, the correlated nested query retrieves all DEPENDENT tuples related to an EMPLOYEE tuple. If none exist, the EMPLOYEE tuple is selected

EXISTS is necessary for the expressive power of SQL

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# **Explicit Sets**



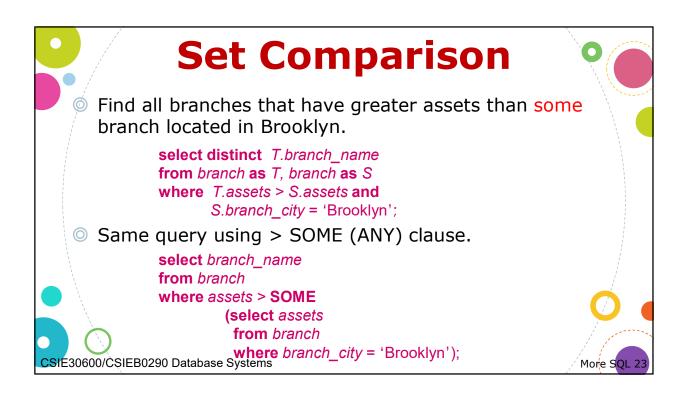
- It is also possible to use an explicit (enumerated) set of values in the WHEREclause rather than a nested query
- Query 13: Retrieve the SSNs of all employees who work on project number 1, 2, or 3.

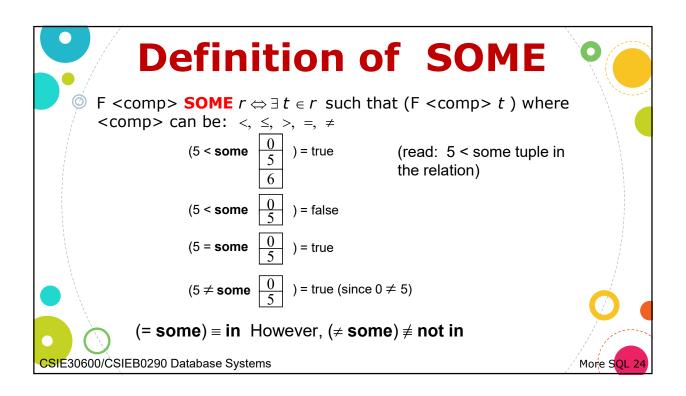
Q13: SELECT DISTINCT ESSN

FROM WORKS\_ON

WHERE PNO IN (1, 2, 3);

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# **Query with ALL**



Find the names of all branches that have greater assets than all branches located in Brooklyn.

select branch\_name

from branch

where assets > ALL

(select assets from branch

where branch\_city = 'Brooklyn');

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# **Definition of ALL**



 $\bigcirc$  F < comp > ALL  $r \Leftrightarrow \forall t \in r \text{ (F < comp > } t)$ 

$$(5 < \mathbf{all} \quad \begin{array}{|c|c|} \hline 0 \\ \hline 5 \\ \hline 6 \\ \end{array}) = \text{false}$$

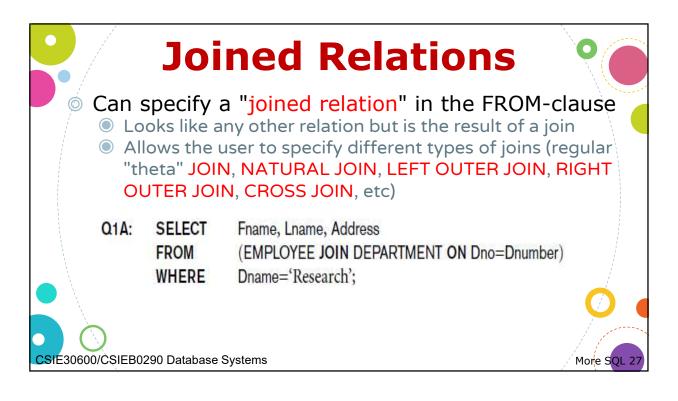
$$(5 < \mathbf{all} \quad \boxed{\frac{6}{10}}) = \text{true}$$

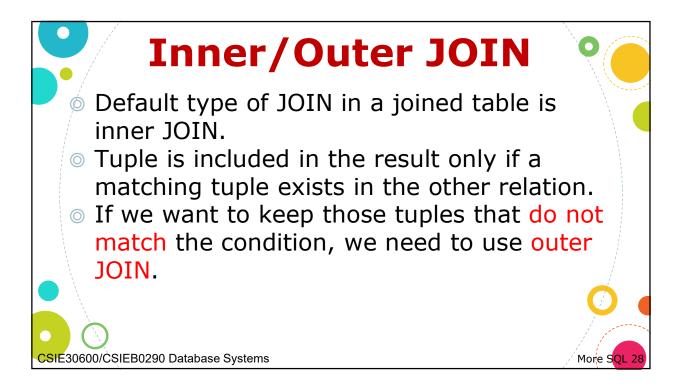
$$(5 = \mathbf{all} \quad \boxed{\frac{4}{5}}) = \text{false}$$

$$(5 \neq \mathbf{all} \quad \boxed{\frac{4}{6}}) = \text{true (since } 5 \neq 4 \text{ and } 5 \neq 6)$$

 $(\neq all) \equiv not in$  However,  $(= all) \not\equiv in$ 

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# Why Outer JOIN?



Consider the following tables and query

Student(sid, name, address)

Spouse(sid, name), sid references Student.sid

List the names of ALL students and their spouses, if they have one.

SELECT Student.name, Spouse.name

FROM Student, Spouse

WHERE Student.sid=Spouse.sid;

O Does this SQL query do the job?

No! Students without spouses will \*not\* be listed.

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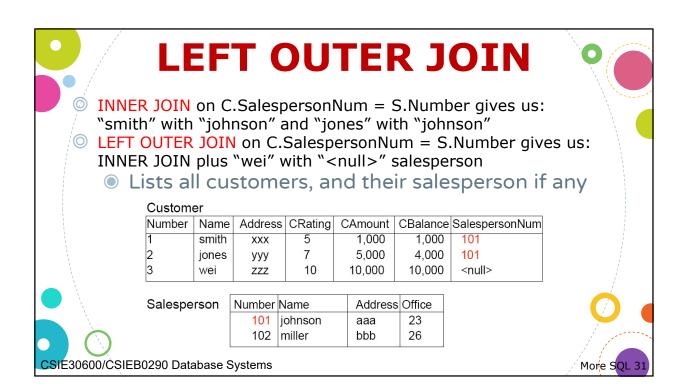
## **Outer JOIN**

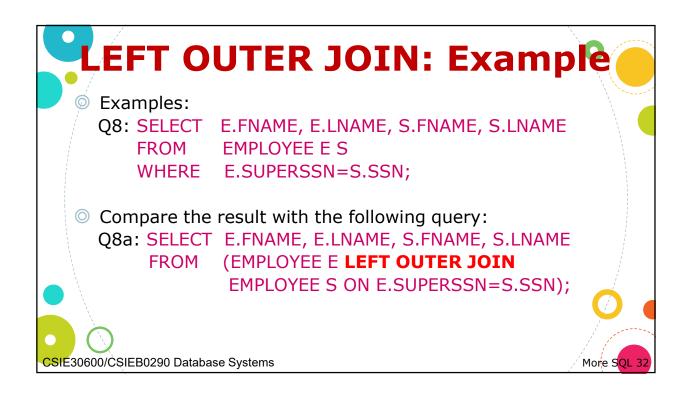


- An extension of the JOIN operation that avoids loss of information.
- Occupates the join and then adds tuples from one relation that do not match tuples in the other relation to the result of the join.
- Uses null values to pad dangling tuples.

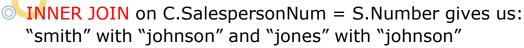


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## RIGHT OUTER JOIN



- RIGHT OUTER JOIN on C.SalespersonNum = S.Number gives: INNER JOIN plus "<null>" customer with "miller"
  - Lists customers that have a salesperson, and salespersons that do not have a customer

#### Customer

|  | Number | Name  | Address | CRating | CAmount | CBalance | SalespersonNum |  |
|--|--------|-------|---------|---------|---------|----------|----------------|--|
|  | 1      | smith | XXX     | 5       | 1,000   | 1,000    | 101            |  |
|  | 2      | jones | ууу     | 7       | 5,000   | 4,000    | 101            |  |
|  | 3      | wei   | ZZZ     | 10      | 10,000  | 10,000   | <null></null>  |  |
|  |        |       |         |         |         |          |                |  |

Salesperson

| Number |         | Address Office |    |  |
|--------|---------|----------------|----|--|
|        | johnson | aaa            | 23 |  |
| 102    | miller  | bbb            | 26 |  |
|        |         |                |    |  |

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## **FULL OUTER JOIN**

FULL OUTER JOIN = LEFT OUTER JOIN ∪ RIGHT OUTER JOIN

FULL OUTER JOIN on C.SalespersonNum = S.Number gives us:

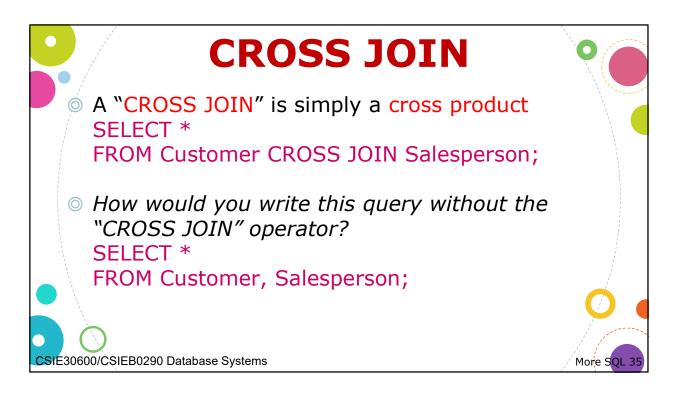
#### **INNER JOIN**

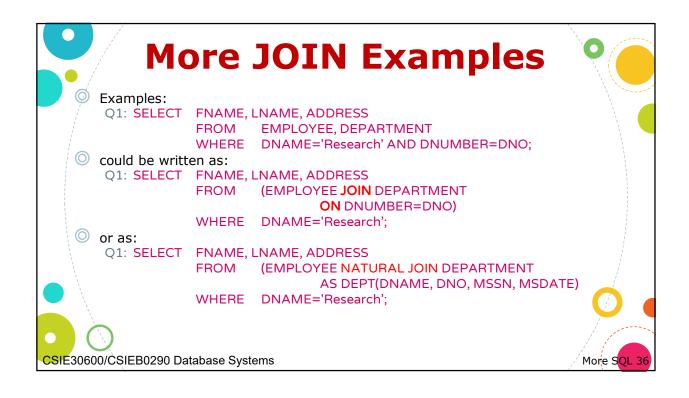
plus "wei" with "<null>" salesperson plus "<null>" customer with "miller"

 Lists all customer-salesperson pairs, and customers that do not have a salesperson, and salespersons that do not have a customer

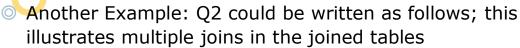
NOTE: You could also have NATURAL < left, right, full> OUTER JOIN

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# **Multiple JOINs**



Q2: SELECT PNUMBER, DNUM, LNAME, BDATE, ADDRESS FROM ((PROJECT JOIN DEPARTMENT
ON DNUM=DNUMBER)
JOIN EMPLOYEE

**ON** MGRSSN=SSN)
WHERE PLOCATION='Stafford';

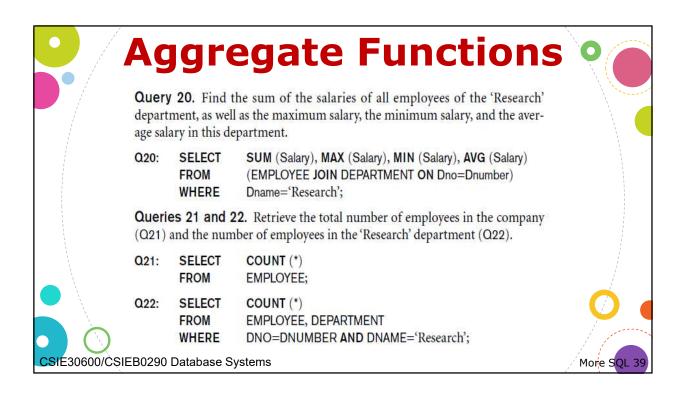
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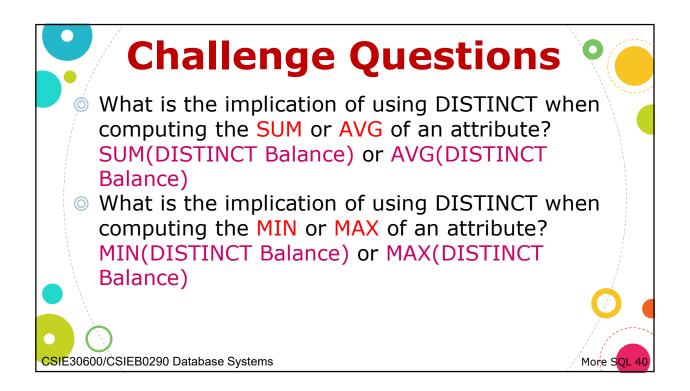
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# **Aggregate Functions** (

- Used to summarize information from multiple tuples into a single-tuple summary
- Include COUNT, SUM, MAX, MIN, and AVG
- Query: Find the maximum salary, the minimum salary, and the average salary among all employees.
  - Q: SELECT **MAX**(SALARY), **MIN**(SALARY), **AVG**(SALARY) FROM EMPLOYEE;
- Some SQL implementations may not allow more than one function in the SELECT-clause

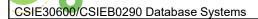
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# **Aggregates and NULLs**

- General rule: aggregates ignore NULL values
  - Avg(1,2,3,NULL,4) = Avg<math>(1,2,3,4)
  - $\odot$  Count(1,2,3,NULL,4) = Count(1,2,3,4)
- But...
  - Count(\*) returns the total number of tuples, regardless whether they contain NULLs or not



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# Grouping

- In many cases, we want to apply the aggregate functions to subgroups of tuples in a relation
- Each subgroup of tuples consists of the set of tuples that have the same value on the grouping attribute(s)
- The function is applied to each subgroup independently
- SQL has a GROUP BY-clause for specifying the grouping attributes, which must also appear in the SELECT-clause

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# **Grouping (cont.)**



Query: For each department, find the department number, the No. of employees in the department, and their average salary.

Q: SELECT DNO, COUNT(\*), AVG(SALARY)
FROM EMPLOYEE
GROUP BY DNO;

In here, the EMPLOYEE tuples are divided into groups. Each group having the same value for the grouping attribute DNO

 The COUNT and AVG functions are applied to each such group of tuples separately

 The SELECT-clause includes only the grouping attribute and the functions to be applied on each group of tuples

A join condition can be used in conjunction with grouping

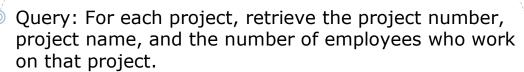


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# **Grouping (cont.)**

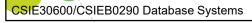


Q: SELECT PNUMBER, PNAME, COUNT (\*)

FROM PROJECT, WORKS\_ON

WHERE PNUMBER=PNO GROUP BY PNUMBER, PNAME;

In this case, the grouping and functions are applied after the joining of the two relations



## **HAVING-Clause**

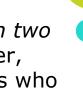


- Sometimes we want to retrieve the values of these functions for only those groups that satisfy certain conditions
- The HAVING-clause is used for specifying a selection condition on groups (rather than on individual tuples)



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# **HAVING-Clause (contd.)**



Query: For each project on which more than two employees work, retrieve the project number, project name, and the number of employees who work on that project.

PNUMBER, PNAME, COUNT(\*) O: SELECT

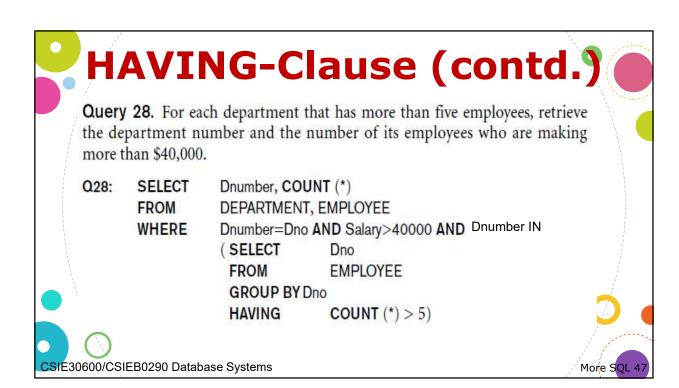
PROJECT, WORKS ON FROM

WHERE PNUMBER=PNO

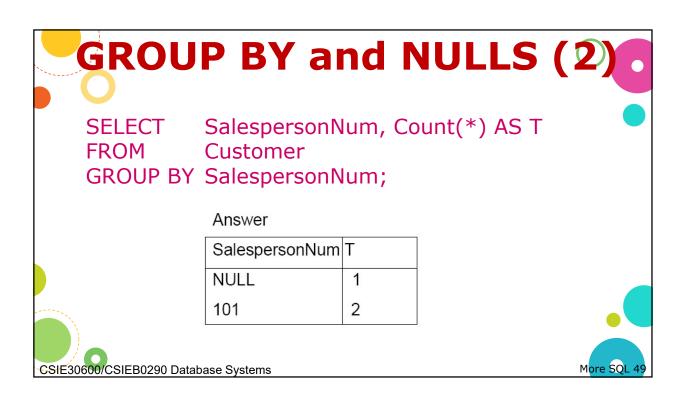
GROUP BY PNUMBER, PNAME

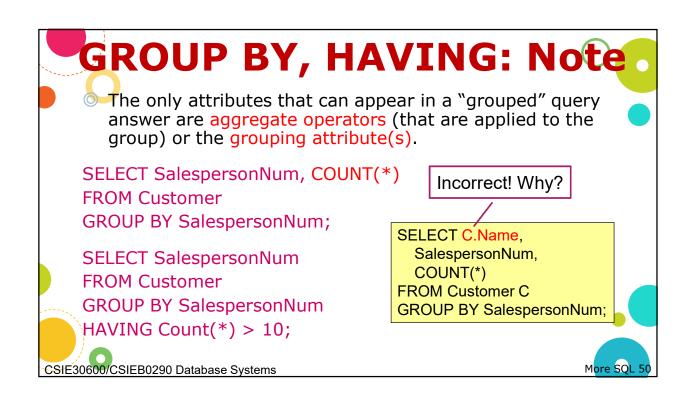
**HAVING** COUNT(\*) > 2;

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#### **GROUP BY and NULLS (19)** Aggregates ignore NULLs On the other hand, NULL is treated as an ordinary value in a grouped attribute If there are NULLs in the Salesperson column (below), a group will be returned for the NULL value (next slide) Customer Number Name Address CRating CAmount CBalance SalespersonNum smith 5 1,000 1,000 101 XXX 7 5,000 4,000 101 jones ууу 10 **NULL** 10,000 10,000 wei ZZZ CSIE30600/CSIEB0290 Database Systems More SOL





# Summary of SQL Queries

A query in SQL can consist of up to six clauses, but only the first two, SELECT and FROM, are mandatory. The clauses are specified in the following order:

```
SELECT <attribute and function list>
FROM 
[WHERE <condition>]
[GROUP BY <grouping attribute(s)>]
[HAVING <group condition>]
[ORDER BY <attribute list>];
```

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# Summary of SQL Queries (cont.)

- The SELECT-clause lists the attributes or functions to be retrieved
- The FROM-clause specifies all relations (or aliases) needed in the query but not those needed in nested queries
- The WHERE-clause specifies the conditions for selection and join of tuples from the relations specified in the FROM-clause
- GROUP BY specifies grouping attributes

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# Summary of SQL Queries (cont.)

- HAVING specifies a condition for selection of groups
- ORDER BY specifies an order for displaying the result of a query
- A query is evaluated by first applying the WHEREclause, then GROUP BY and HAVING, and finally the SELECT-clause





# **Complex Update**



Example: Give all employees in the 'Research' department a 10% raise in salary.

**U6: UPDATE EMPLOYEE** 

**SET** SALARY = SALARY \*1.1

WHERE DNO IN

(SELECT DNUMBER
FROM DEPARTMENT
WHERE DNAME='Research');

 In this request, the modified SALARY value depends on the original SALARY value in each tuple

The reference to the SALARY attribute on the right of = refers to the old SALARY value before modification

The reference to the SALARY attribute on the left of = refers to the new SALARY value after modification

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Increase all accounts with balances over \$20,000 by 7%, over \$10,000 by 6%, all other accounts receive 5% as bonus.

```
update account
set balance = case
when balance<10000 then balance * 1.05
when balance>=20000 then balance * 1.07
else balance * 1.06
end;
```

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# **Derived Relations**

SQL allows a subquery expression to be used in from clause
 Find the average account balance of those branches where the average account balance is greater than \$1200.

Note that we do not need to use the **having** clause, since we compute the temporary (view) relation *branch\_avg* in the **from** clause, and the attributes of *branch\_avg* can be used directly in the **where** clause.

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## **WITH Clause**

- The **with** clause provides a way of defining a temporary view whose definition is available only to the query in which the **with** clause occurs.
- Find all accounts with the maximum balance

with max\_balance (value) as

**select max** (balance)

from account

select account number

from account, max\_balance

**where** account.balance = max\_balance.value;

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# Complex Query using WITH

Find all branches where the total account deposit is greater than the average of the total account deposits at all branches.

with branch\_total (branch\_name, value) as

select branch\_name, sum (balance)

from account

group by branch name

with branch total avg (value) as

select avg (value)

from branch\_total

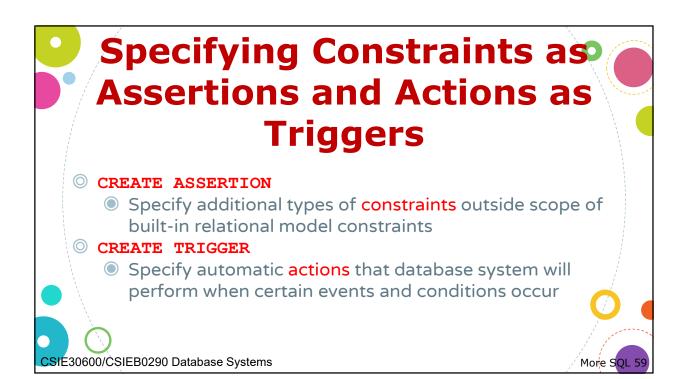
select branch name

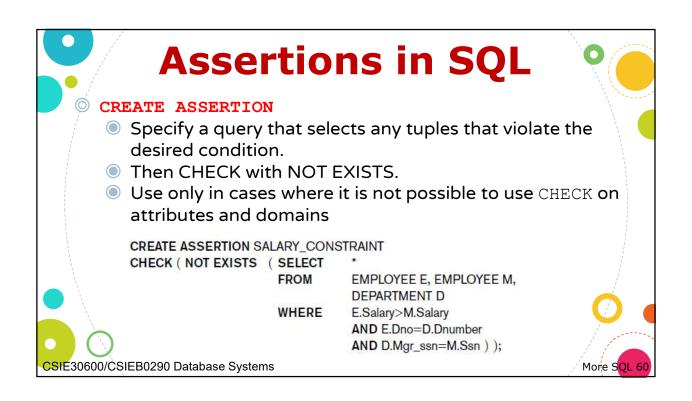
from branch total, branch\_total\_avg

**where** branch total.value >= branch total avg.value;

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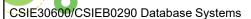




# **Triggers in SQL**



- O CREATE TRIGGER statement
  - Used to monitor the database
- Typical trigger has three components:
  - Event(s)
  - Condition
  - Action
- Check the textbook(s) or online doc for more info.





# Views (Virtual Tables)

- In some cases, it is not desirable for all users to see the entire logical model (ie. all the actual relations.)
- Consider a person who needs to know a customer's loan number but has no need to see the loan amount. This person should see a relation described, in SQL, by

(**select** customer\_name, loan\_number

from borrower, loan

**where** borrower.loan number = loan.loan number )

- A view provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a view.

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# **View Definition**

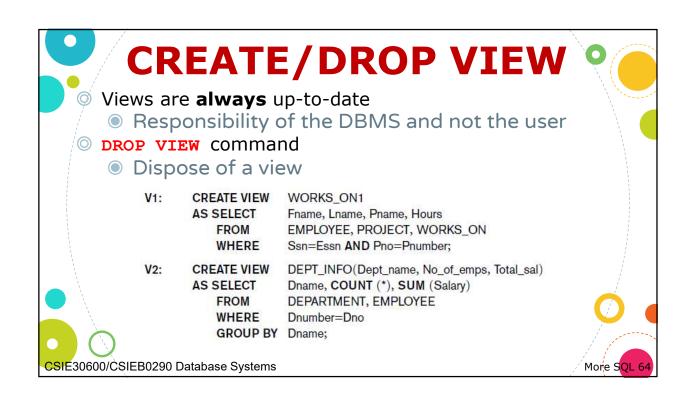
A view is defined using the CREATE VIEW statement which has the form

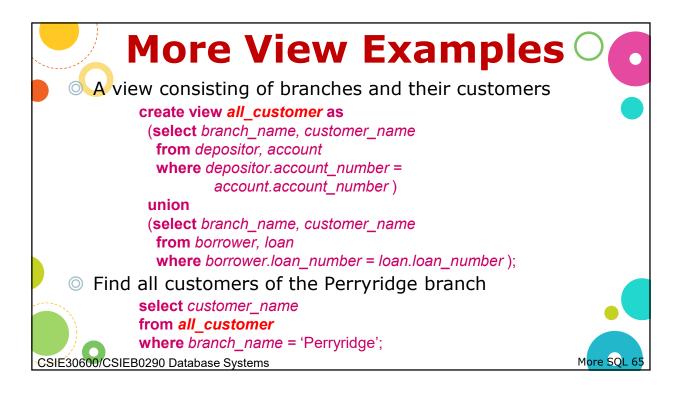
#### create view v as < query expression >

where <query expression> is any legal SQL expression. The view name is represented by v.

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is **not** the same as creating a new relation by evaluating the query expression. Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.

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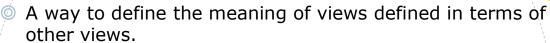


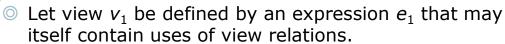
# Views Defined Using Other Views

- One view may be used in defining another view
- $\bigcirc$  A view  $v_1$  is said to **depend directly** on a view  $v_2$  if  $v_2$  is used in the expression defining  $v_1$
- $\odot$  A view  $v_1$  is said to **depend on** view  $v_2$  if either  $v_1$  depends directly to  $v_2$  or there is a path of dependencies from  $v_1$  to  $v_2$
- $\bigcirc$  A view v is said to be **recursive** if it depends on itself.

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# **View Expansion**





View expansion of an expression repeats the following replacement step:

#### repeat

Find any view  $v_i$  in  $e_1$ 

Replace the view  $v_i$  by the expression defining  $v_i$ 

**until** no more views are present in  $e_1$ 

As long as the view definitions are not recursive, this doop will terminate.

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# View Implementation, View Update, and Inline Views

- Complex problem of efficiently implementing a view for querying
- Query modification approach
  - Modify view query into a query on underlying base tables
  - Disadvantage: inefficient for views defined via complex queries that are time-consuming to execute

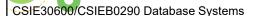
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# View Implementation



- Physically create a temporary view table when the view is first queried
- Keep that table on the assumption that other queries on the view will follow
- Requires efficient strategy for automatically updating the view table when the base tables are updated

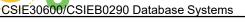


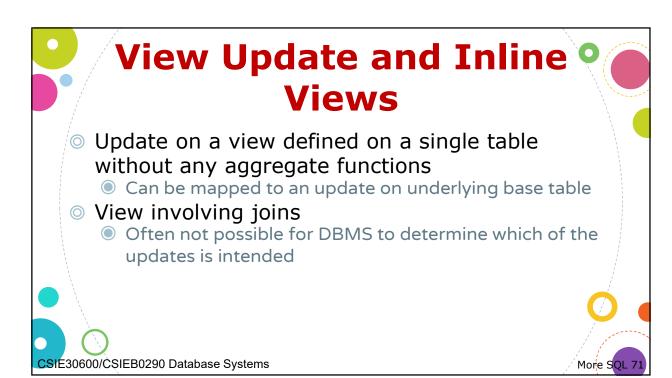


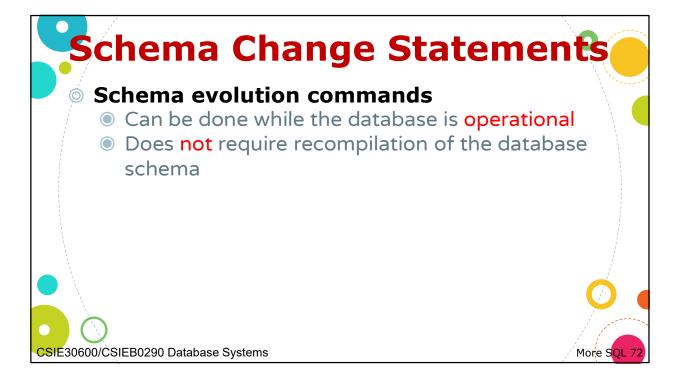
# View Implementation (cont'd.)



 DBMS determines what new tuples must be inserted, deleted, or modified in a materialized view table





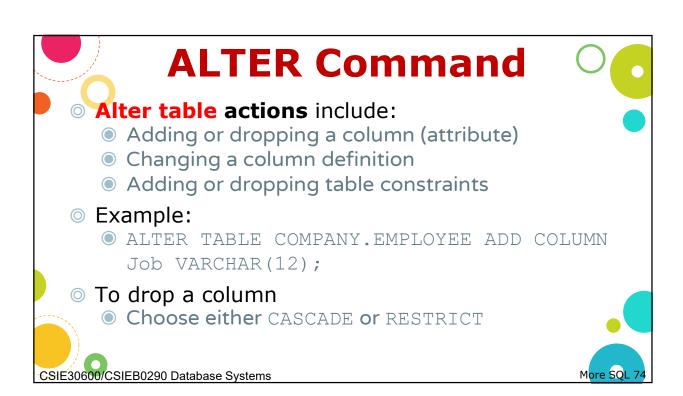


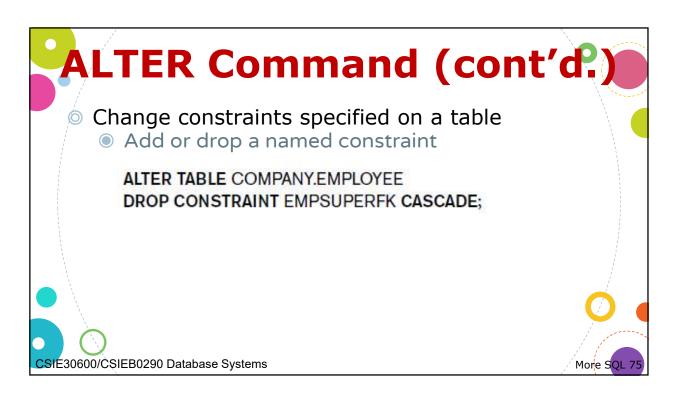
# DROP Command Drop command Used to drop named schema elements, such as tables, domains, or constraint Drop behavior options: CASCADE and RESTRICT

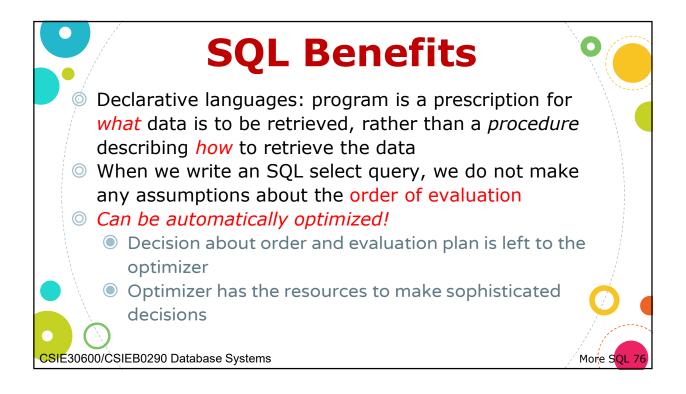
© Example:

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DROP SCHEMA COMPANY CASCADE;







# **SQL Limitations**



- Not flexible enough for some applications
  - Some queries cannot be expressed in SQL
  - Non-declarative actions can't be done from SQL. e.g., printing a report, interacting with user/GUI
  - SQL queries may be just one small component of complex applications
- O Hard to program for performance!
- Trade-off: automatic optimization of queries expressed in powerful languages is hard



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# **Limitations: Missing Aggregate Functions**



- Set functions: sum, avg, max, min and count
- What about median
  - Given a sequence of numbers a<sub>1</sub>,..., a<sub>n</sub>
  - Median is the value  $a_k$  s.t. k = FLOOR((n+1)/2)
- Can't write
  - SELECT median(amount) FROM Account



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