

CSIEB0100 Data Structures

Lecture 03 Arrays

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Lecture material is partly home-grown, partly taken from slides came with the textbook originally prepared by Professor Jiun-Long Huang of NCTU.

Arrays

- **Array:** a set of values accessible by indexes
- Data structure
 - For each index, there is a value associated with that index.
- Representation (possible)
 - Implemented by using consecutive memory.
- Example: `int list[5]: list[0], ..., list[4]` each contains an integer

	0	1	2	3	4
list					

```

class GeneralArray {
  /* objects: A set of pairs < index, value> where for each value
  of index in IndexSet there is a value of type float. IndexSet
  is a finite ordered set of one or more dimensions, for
  example, {0, ..., n-1} for one dimension, {(0, 0), (0, 1), (0,
  2), (1, 0), (1, 1), (1, 2), (2, 0), (2, 1), (2, 2)} for two
  dimensions, etc. */
  public:
    GeneralArray(int j; RangeList list, float initialValue =
    defatultValue);
    /* The constructor GeneralArray creates a j dimensional
    array of floats: the range of the kth dimension is given by
    the kth element of list. For each index i in the index set,
    insert <i, initialValue> into the array. */
    float Retrieve(index i);
    /* if (i is in the index set of the array) return the float
    associated with i in the array; else signal an error */
    void Store(index i, float x);
    /* if (i is in the index set of the array) delete any pair of the
    form <i, y> present in the array and insert the new pair <i,
    x>; else signal an error. */
}; // end of GeneralArray

```

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Ordered List

- Ordered (linear) list
 - $(item_1, item_2, item_3, \dots, item_n)$
- Examples:
 - (MONDAY, TUESDAY, WEDNESDAY, THURSDAY, FRIDAY, SATURDAY, SUNDAY)
 - (2, 3, 4, 5, 6, 7, 8, 9, 10, Jack, Queen, King, Ace)
 - (2021, 2022, 2023, 2024, 2025)
 - $(a_1, a_2, a_3, \dots, a_{n-1}, a_n)$
- How to represent and implement ordered lists?

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Operations on Ordered List

1. Find the **length**, n , of the list.
2. **Read** the items from left to right (or right to left).
3. **Retrieve** the i -th element.
4. **Store** a new value into the i -th position.
5. **Insert** a new element at the position i , causing elements numbered $i, i+1, \dots, n$ to become numbered $i+1, i+2, \dots, n+1$ (**shift right**)
6. **Delete** the element at position i , causing elements numbered $i+1, \dots, n$ to become numbered $i, i+1, \dots, n-1$ (**shift left**)

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Implementation of Ordered List

- Implementing ordered lists by **arrays**
 - Sequential mapping
 - Operations (1)~(4) O
 - Operations (5)~(6) X
- Performing operations 5 and 6 requires **data movement** which is **costly**
- This overhead motivates us to consider nonsequential mapping of order lists in Chapter 4
 - Linked list

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Array Application: Polynomial

- Example:
 - $A(X)=3X^2+2X+4$, $B(X)=X^4+10X^3+3X^2+1$
- The largest exponent of a polynomial is called its **degree**
- A polynomial is called **sparse** when it has many zero terms
- Implement polynomials by **arrays**

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```

class polynomial
{
  objects:  $p(x) = a_1x^{e_1} + \dots + a_nx^{e_n}$  a set of ordered pairs of  $\langle e_i, a_i \rangle$ 
  where  $a_i \in \text{Coefficient}$  and  $e_i \in \text{Exponent}$ 
  We assume that Exponent consists of integers  $\geq 0$ 
  public:
    Polynomial();
    // return the polynomial  $p(x) = 0$ 
    int operator!();
    // if *this is the zero polynomial, return 1; else return 0;
    Coefficient Coef(Exponent e);
    // return the coefficient of e in *this
    Exponent LeadExp();
    // return the largest exponent in *this
    Polynomial Add(Polynomial poly);
    // return the sum of the polynomials *this and poly
    Polynomial Mult(Polynomial poly);
    // return the product of the polynomials *this and poly
    float Eval(float f);
    // Evaluate the polynomial *this at f and return the result
}; end of Polynomial

```

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Polynomial Representation #1

private:

```
int degree; // degree ≤ MaxDegree
```

```
float CoefArray[MaxDegree + 1];
```

- $x^4+10x^3+3x^2+1$

	0	1	2	3	4
CoeffArray	1	0	3	10	1

- Waste space if the polynomial is **much smaller** than *MaxDegree*

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Polynomial Representation #2

private:

```
int degree; // degree ≤ MaxDegree
```

```
float *coef;
```

```
Polynomial::Polynomial(int d)
```

```
{
```

```
    degree=d;
```

```
    coef=new float[degree+1];
```

```
}
```

- Waste space when the polynomial is **sparse** (e.g., $x^{1000}+1$)

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Polynomial Representation #3a

- Use one **global array** to store **all polynomials**
- Class member **free** gives the location of the next free location
 - $A(X)=2X^{1000}+1$ // highest exp first
 - $B(X)=X^4+10X^3+3X^2+1$

	<i>A.Start</i>	<i>A.Finish</i>	<i>B.Start</i>			<i>B.Finish</i>	<i>free</i>
<i>coef</i>	2	1	1	10	3	1	
<i>exp</i>	1000	0	4	3	2	0	
<i>Index</i>	0	1	2	3	4	5	6

Specification: polynomial Representation: <start, finish>

A <0,1>

B <2,5>

Polynomial Representation #3b

- Storage requirements: start, finish, $2*(finish-start+1)$
- Non sparse: twice as much as representation 2 when all the items are nonzero

```
class Polynomial; // forward declaration
class Term {
friend class Polynomial;
private:
    float coef; // coefficient
    int exp; // exponent
};
```

Polynomial Representation #3c

```
class Polynomial {
...
private:
    static Term termArray[MaxTerms];
    static int free;
    int Start, Finish;
}
Term Polynomial::termArray[MaxTerms];
// location of next free location
int Polynomial::free = 0;
```

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Adding a New Term

```
void Polynomial::NewTerm(float c, int e)
// Add a new term
{
    if (free >= MaxTerms) {
        cerr << "Too many terms" << endl;
        exit();
    }
    termArray[free].coef = c;
    termArray[free].exp = e;
    free++;
} // end of NewTerm
```

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Adding Two Polynomials

Polynomial #1	Polynomial #2															
$x^4 - 3x^2 + x + 1$	$x^3 - x^2 + 5x - 2$															
+	<table style="margin: auto; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; padding: 5px; text-align: center;">x^4</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">+ 0</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$- 3x^2$</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">+ x</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">+ 1</td> </tr> <tr> <td style="border: 1px solid black; padding: 5px; text-align: center;">x^3</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$- x^2$</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$+ 5x$</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$- 2$</td> <td></td> </tr> <tr style="border-top: 1px solid black;"> <td style="border: 1px solid black; padding: 5px; text-align: center;">x^4</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">x^3</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$- 4x^2$</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$+ 6x$</td> <td style="border: 1px solid black; padding: 5px; text-align: center;">$- 1$</td> </tr> </table>	x^4	+ 0	$- 3x^2$	+ x	+ 1	x^3	$- x^2$	$+ 5x$	$- 2$		x^4	x^3	$- 4x^2$	$+ 6x$	$- 1$
x^4	+ 0	$- 3x^2$	+ x	+ 1												
x^3	$- x^2$	$+ 5x$	$- 2$													
x^4	x^3	$- 4x^2$	$+ 6x$	$- 1$												

Adding Two Polynomials on Array

	A.Start	A.Finish	B.Start			B.Finish	free
coef	2	1	1	10	3	1	
exp	1000	0	4	3	2	0	
Index	0	1	2	3	4	5	6

↑
a

↑
b

$A(X)=2X^{1000}+1$
 $B(X)=X^4+10X^3+3X^2+1$

coef							
Exp							
Index	7	8	9	10	11	12	13


```

Polynomial Polynomial::Add(Polynomial B)
// return the sum of A(x) (in *this) and B(x)
{
    Polynomial C; int a = Start; int b = B.Start;
    C.Start = free; float c;
    while ((a <= Finish) && (b <= B.Finish))
        switch (compare(termArray[a].exp, termArray[b].exp)) {
            case '=':
                c = termArray[a].coef + termArray[b].coef;
                if ( c ) NewTerm(c, termArray[a].exp);
                a++; b++;
                break;
            case '<':
                NewTerm(termArray[b].coef, termArray[b].exp);
                b++;
            case '>':
                NewTerm(termArray[a].coef, termArray[a].exp);
                a++;
        } // end of switch and while

```

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```

// add in remaining terms of A(x)
for (; a <= Finish; a++)
    NewTerm(termArray[a].coef,
            termArray[a].exp);
// add in remaining terms of B(x)
for (; b <= B.Finish; b++)
    NewTerm(termArray[b].coef,
            termArray[b].exp);
C.Finish = free - 1;
return C;
} // end of Add

```

Analysis: $O(n+m)$ where n (m) is the number of non-zeros in A (B).

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Disadvantages of Array Representation

- The value of *free* is continually incremented until it tries to exceed *MaxTerms*
- What should we do when *free* is going to exceed *MaxTerms*?
 - Either quit or reuse the space of unused polynomials by compacting the global array
 - It is costly!
- A more elegant solution is proposed in Chapter 4 by employing **linked list**.

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Sparse Matrix

- A general matrix consists of m rows and n columns of numbers
 - An $m \times n$ matrix
 - It is natural to store a matrix in a two dimensional array, say $A[m][n]$
- A matrix is called **sparse** if it consists of many zero entries
 - Implementing a sparse matrix by a two dimensional array waste a lot of memory
 - Space complexity is $O(m \times n)$

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Sparse Matrix Example

$$\begin{bmatrix} -27 & 3 & 4 \\ 6 & 82 & -2 \\ 109 & -64 & 11 \\ 12 & 8 & 9 \\ 48 & 27 & 47 \end{bmatrix}$$

5x3

(a)

$$\begin{bmatrix} 15 & 0 & 0 & 22 & 0 & -15 \\ 0 & 11 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & -6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 91 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 28 & 0 & 0 & 0 \end{bmatrix}$$

6x6

(b)

Sparse matrix

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Sparse Matrix ADT

class SparseMatrix

/ objects: A set of triples, <row, column, value>, where row and column are integers and form a unique combinations; value is also an integer. */*

public:

SparseMatrix(int MaxRow, int MaxCol);

/ the constructor function creates a SparseMatrix that can hold up to MaxInterms = MaxRow x MaxCol and whose maximum row size is MaxRow and whose maximum column size is MaxCol */*

SparseMatrix Transpose();

/ returns the SparseMatrix obtained by interchanging the row and column value of every triple in *this */*

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Sparse Matrix ADT

SparseMatrix Add(SparseMatrix b);

/ if the dimensions of a (*this) and b are the same, then the matrix produced by adding corresponding items, namely those with identical row and column values is returned.*

*else error. */*

SparseMatrix Multiply(SparseMatrix b);

/ if number of columns in a (*this) equals number of rows in b then the matrix d produced by multiplying a by b according to the formula $d[i][j] = \sum(a[i][k]*b[k][j])$, where $d[i][j]$ is the (i, j)th element, is returned. k ranges from 0 to the number of columns in a - 1*

*else error. */*

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Sparse Matrix Representation

- Use triple <row, column, value>
- Store triples row by row
- For all triples within a row, their column indices are in ascending order.
- Must know the numbers of rows and columns and the number of nonzero elements

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Sparse Matrix Representation

$\begin{bmatrix} 15 & 0 & 0 & 22 & 0 & -15 \\ 0 & 11 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & -6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 91 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 28 & 0 & 0 & 0 \end{bmatrix}$	<table border="0"> <thead> <tr> <th></th> <th>row</th> <th>col</th> <th>value</th> </tr> </thead> <tbody> <tr><td>a[0]</td><td>0</td><td>0</td><td>15</td></tr> <tr><td>[1]</td><td>0</td><td>3</td><td>22</td></tr> <tr><td>[2]</td><td>0</td><td>5</td><td>-15</td></tr> <tr><td>[3]</td><td>1</td><td>1</td><td>11</td></tr> <tr><td>[4]</td><td>1</td><td>2</td><td>3</td></tr> <tr><td>[5]</td><td>2</td><td>3</td><td>-6</td></tr> <tr><td>[6]</td><td>4</td><td>0</td><td>91</td></tr> <tr><td>[7]</td><td>5</td><td>2</td><td>28</td></tr> </tbody> </table> <p style="text-align: center;">(a)</p>		row	col	value	a[0]	0	0	15	[1]	0	3	22	[2]	0	5	-15	[3]	1	1	11	[4]	1	2	3	[5]	2	3	-6	[6]	4	0	91	[7]	5	2	28	<div style="text-align: center;">  <p>transpose</p> </div>	<table border="0"> <thead> <tr> <th></th> <th>row</th> <th>col</th> <th>value</th> </tr> </thead> <tbody> <tr><td>b[0]</td><td>0</td><td>0</td><td>15</td></tr> <tr><td>[1]</td><td>0</td><td>4</td><td>91</td></tr> <tr><td>[2]</td><td>1</td><td>1</td><td>11</td></tr> <tr><td>[3]</td><td>2</td><td>1</td><td>3</td></tr> <tr><td>[4]</td><td>2</td><td>5</td><td>28</td></tr> <tr><td>[5]</td><td>3</td><td>0</td><td>22</td></tr> <tr><td>[6]</td><td>3</td><td>2</td><td>-6</td></tr> <tr><td>[7]</td><td>5</td><td>0</td><td>-15</td></tr> </tbody> </table> <p style="text-align: center;">(b)</p>		row	col	value	b[0]	0	0	15	[1]	0	4	91	[2]	1	1	11	[3]	2	1	3	[4]	2	5	28	[5]	3	0	22	[6]	3	2	-6	[7]	5	0	-15
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- row, column in ascending order

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```
class SparseMatrix; // forward decl.
class MatrixTerm {
    friend class SparseMatrix
private:
    int row, col, value;
};
```

- In class SparseMatrix:


```
private:
    int Rows, Cols, Terms;
    MatrixTerm smArray[MaxTerms];
```

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Transpose a Matrix

- (1) For each **row i**
 - take element $\langle i, j, \text{value} \rangle$ and store it in element $\langle j, i, \text{value} \rangle$ of the transpose.
 - difficulty: **where** to put $\langle j, i, \text{value} \rangle$
 - $(0, 0, 15) \implies (0, 0, 15)$
 - $(0, 3, 22) \implies (3, 0, 22)$
 - $(0, 5, -15) \implies (5, 0, -15)$
 - $(1, 1, 11) \implies (1, 1, 11)$
- (2) For all elements in **column j**,
 - place element $\langle i, j, \text{value} \rangle$ in element $\langle j, i, \text{value} \rangle$

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Transpose a Matrix

row col value		row col value
a[0]	0 0 15	b[0]
[1]	0 3 22	[1]
[2]	0 5 -15	[2]
[3]	1 1 13	[3]
[4]	1 2 3	[4]
[5]	2 3 -6	[5]
[6]	4 0 91	[6]
[7]	5 2 28	[7]

← CurrentB

- Iteration 0: scan the array **a** and process the entries with $\text{col}=0$

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Transpose a Matrix

	row	col	value		row	col	value
a[0]	0	0	15		b[0]	0	15
[1]	0	3	22		[1]	0	91
[2]	0	5	-15		[2]	1	13
[3]	1	1	13	←	[3]	2	3
[4]	1	2	3		[4]	2	28
[5]	2	3	-6		[5]	3	22
[6]	4	0	91		[6]	3	-6
[7]	5	2	28		[7]	5	-15

- Iteration 1: scan the array **a** and process the entries with col=1

Transpose a Matrix

	row	col	value		row	col	value
CurrentA → a[0]	0	0	15	←	b[0]	0	15
[1]	0	3	22		[1]	0	91
[2]	0	5	-15		[2]	1	11
[3]					[3]	2	3
[4]					[4]	2	28
[5]					[5]	3	22
[6]					[6]	3	-6
[7]					[7]	5	-15

- Iteration 0: scan the array **b** and process all entries with col = 0

Transpose a Matrix

	row	col	value		row	col	value
	a[0]	0	15		b[0]	0	15
	[1]	0	22		[1]	0	91
	[2]	0	-15		[2]	1	11
CurrentA →	[3]	1	11	←	[3]	2	3
	[4]	1	3	←	[4]	2	28
	[5]				[5]	3	22
	[6]				[6]	3	-6
	[7]				[7]	5	-15

- Iteration 1: scan the array **b** and process all entries with col = 1

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Questions Worth Thinking

- Iteration 0: Scan all entries with col=0 to form row 0 of the transpose matrix.
- Iteration 1: Scan all entries with col=1 to form row 1 of the transpose matrix.
- ...
- Rows are in ascending order.
- What about **all col's** within the same row? Will they be **in ascending order**?
- Entries are **repeatedly scanned**. Can we do better?

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```

SparseMatrix SparseMatrix::Transpose()
// return the transpose of a (*this)
{
    SparseMatrix b;
    b.Rows = Cols; // rows in b = cols in a
    b.Cols = Rows; // cols in b = rows in a
    b.Terms = Terms; // terms in b = in a
    if (Terms > 0) // nonzero matrix
    {
        int CurrentB = 0;
        for (int c=0; c < Cols; c++)
            // transpose by columns

```

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```

        for (int i = 0; i < Terms; i++)
            // find elements in column c
            if (smArray[i].col == c) {
                b.smArray[CurrentB].row=c;
                b.smArray[CurrentB].col=
                    smArray[i].row;
                b.smArray[CurrentB].value=
                    smArray[i].value;
                CurrentB++;
            }
        } // end of if (Terms > 0)
    return b;
} // end of transpose

```

Time Complexity
 $O(\text{terms} * \text{cols})$

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Comparison of Sparse and 2D

- Discussion:
 - $O(\text{columns} \times \text{terms})$ vs. $O(\text{columns} \times \text{rows})$
 - Terms \rightarrow columns \times rows when non-sparse
 - $O(\text{columns}^2 \times \text{rows})$ when non-sparse
- **Problem:** Scan the array “columns” times.
- **Solution:**
 - Determine the number of elements in each column of the original matrix.
 - Determine the starting positions of each row in the transpose matrix.

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Fast Matrix Transposing

- Store some information to avoid scanning all terms back and forth
- Move elements to the correct positions directly.
- **FastTranspose** requires more space than **Transpose**
 - RowSize
 - RowStart
- An example of **space-time tradeoff** in algorithm design.

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row col value	row col value
a[0]	b[0] 0 0 15
[1]	[1] 0 4 91
[2]	[2] 1 1 11
[3]	[3] 2 1 3
[4]	[4] 2 5 28
[5]	[5] 3 0 22
[6]	[6] 3 2 -6
[7]	[7] 5 0 -15

index	[0]	[1]	[2]	[3]	[4]	[5]
RowSize =	3	2	1	0	1	1
RowStart =	0	3	5	6	6	7

- Calculate RowSize by scanning array b
- Calculate RowStart by scanning RowSize

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row col value	row col value
a[0] 0 0 15	b[0] 0 0 15
[1]	[1] 0 4 91
[2]	[2] 1 1 11
[3]	[3] 2 1 3
[4]	[4] 2 5 28
[5]	[5] 3 0 22
[6]	[6] 3 2 -6
[7]	[7] 5 0 -15

index	[0]	[1]	[2]	[3]	[4]	[5]
RowSize =	0	0	0	0	0	0
	RowSize[0]++					

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	row	col	value		row	col	value
a	[0]	0	15	b	[0]	0	15
	[1]				[1]	0	91
	[2]				[2]	1	11
	[3]				[3]	2	3
	[4]				[4]	2	28
	[5]				[5]	3	22
	[6]	4	91		[6]	3	-6
	[7]				[7]	5	-15

index	[0]	[1]	[2]	[3]	[4]	[5]
RowSize =	1	0	0	0	0	0

RowSize[4]++

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	row	col	value		row	col	value
a	[0]	0	15	b	[0]	0	15
	[1]	0	22		[1]	0	91
	[2]	0	-15		[2]	1	11
	[3]	1	11		[3]	2	3
	[4]	1	3		[4]	2	28
	[5]	2	-6		[5]	3	22
	[6]	4	91		[6]	3	-6
	[7]	5	28		[7]	5	-15

index	[0]	[1]	[2]	[3]	[4]	[5]
RowSize =	3	2	1	0	1	1
RowStart =	0	3	5	6	6	7

RowStart[i] = RowStart[i-1]+RowSize[i-1]

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```

SparseMatrix SparseMatrix::FastTranspose()
// The transpose of a(*this) is placed in b
// and is found in O(terms + columns) time.
{
    int i;
    int *Rows = new int[Cols];
    int *RowStart = new int[Cols];
    SparseMatrix b;
    b.Rows = Cols; b.Cols = Rows;
    b.Terms = Terms;
    if (Terms > 0) // nonzero matrix
    {

```

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```

    for (int i = 0; i < Cols; i++) RowSize[i] = 0;
    // Initialize
    for (int i = 0; i < Terms; i++)
        RowSize[smArray[i].col]++;
    // RowStart[i] = start of row i in b
    RowStart[0] = 0;
    for (i = 1; i < Cols; i++) // O(Cols - 1)
        RowStart[i] = RowStart[i-1] + RowSize[i-1];
    for (i = 0; i < Terms; i++) // move from a to b
    {
        int j = RowStart[smArray[i].col];
        b.smArray[j].row = smArray[i].col;
        b.smArray[j].col = smArray[i].row;
        b.smArray[j].value = smArray[i].value;

```

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```
    RowStart[smArray[i].col]++;  
    } // end of for  
} // end of if  
delete [] RowSize;  
delete [] RowStart;  
return b;  
} // end of FastTranspose
```

O(Cols + Terms)

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Matrix Multiplication

- **Definition:** Given A and B, where A is $m \times n$ and B is $n \times p$, the product matrix Result has dimension $m \times p$. Its $[i][j]$ element is

$$result_{ij} = \sum_{k=0}^{n-1} a_{ik} b_{kj}$$

for $0 \leq i < m$ and $0 \leq j < p$.

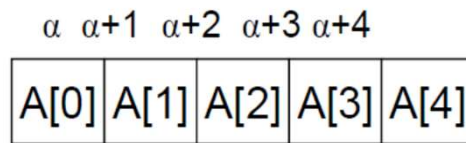
- Please study Textbook Section 2.4.4

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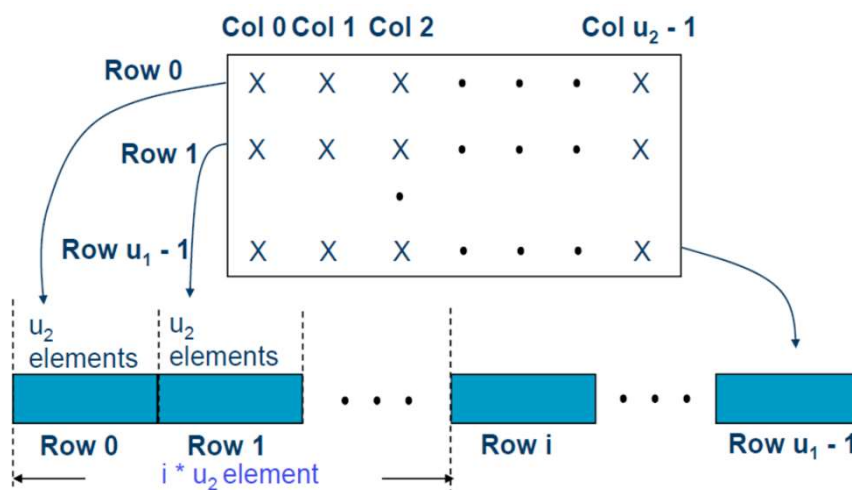
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Representation of Arrays

- Multidimensional arrays are usually implemented by one dimensional array via either **row major** order or **column major** order.
- Example: One dimensional array



2D Array in Row Major Order



Generalizing Array Representation

- The address indexing of Array $A[i_1][i_2], \dots, [i_n]$ is

$$\begin{aligned}
 & \alpha + i_1 u_2 u_3 \dots u_n \\
 & \quad + i_2 u_3 u_4 \dots u_n \\
 & \quad + i_3 u_4 u_5 \dots u_n \\
 & \quad \vdots \\
 & \quad + i_{n-1} u_n \\
 & \quad + i_n
 \end{aligned}
 = \alpha + \sum_{j=1}^n i_j a_j \text{ where } \begin{cases} a_j = \prod_{k=j+1}^n u_k & 1 \leq j \leq n \\ a_n = 1 \end{cases}$$

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Strings

- Usually **string** is represented as a **character array**.
- General string operations include comparison, string concatenation, copy, insertion, string matching, printing, etc.

H	e	l	l	o		W	o	r	l	d	\0
---	---	---	---	---	--	---	---	---	---	---	----

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```
class String
{
public:
    String(char *init, int m);
    // Constructor to initialize *this to string init of length m.
    bool operator == (String t);
    // If *this string equals t, return true, else return false.
    bool operator!( );
    // If *this string is empty, return true, else return false.
    int Length( );
    // Return the length of *this string.
    String Concat(String t);
    // Return a string of *this string followed by t.
    String Substr(int i, int j);
    // Return the substring of *this starting from position i to i+j-1.
    int Find(String pat);
    // Return the index i where pat resides in *this, -1 if not found.
```

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```
...
```

```
private:
    int length;
    char *str;
    int f[100]; // The failure function (more details later)
};
```

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String Matching: Simple Solution

- **Algorithm: Simple string matching**
- **Input:** **P** and **T**, the pattern and text strings with length **m** and **n**. **P** is assumed to be nonempty.
- **Output:** The return value is the index in **T** where a copy of **P** begins, or -1 if no match is found.

```

P: ABABC      ABABC      ABABC
   ↓↓↓↓↓      ↓          ↓↓↓↓↓
T: ABABABCCA ABABABCCA ABABABCCA
                        ↑
                        Successful match
  
```

- Worst-case complexity is $O(m \times n)$

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```

int String::Find(String pat)
{
    // Return the index where pat resides,
    // -1 if not found.
    for (int start=0; start <= Length()-
pat.Length(); start++) {
        // Check for match beginning at str[start]
        int j;
        for (j=0; j < pat.Length() &&
str[start+j] == pat.str[j]; j++);
        if (j == pat.Length()) return start;
        // no match at position start
    }
    return -1 ; // pat is empty or not found
}
  
```

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KMP Algorithm

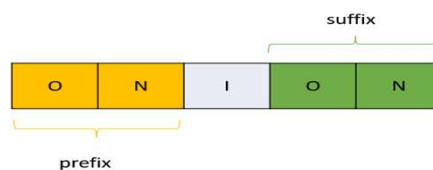
- **KMP** algorithm (by Knuth, Morris and Pratt)
 - Runs in **linear time**
- Main idea:
 - Use the **characteristic** of the **pattern string**
- Phase 1:
 - Generate an **LPS** (*Longest Proper Prefix which is also a Suffix*) **array** to indicate **partial match** and the **moving offset**.
- Phase 2:
 - Use the LPS array to match and move the pattern string (**skipping partial match**).

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KMP Concepts

- **Prefix**: All the characters in a string, with one or more, cut off at the **end**.
 - For example, “a”, “ab”, “abc”, “abcd”, ... are prefixes of “abcdabd”. (other prefixes?)
- **Suffix**: All the characters in a string, with one or more, cut off at the **beginning**.
 - For example, “dabd”, “abd”, “bd”, “d”, ... are suffixes of “abcdabd”. (other suffixes?)

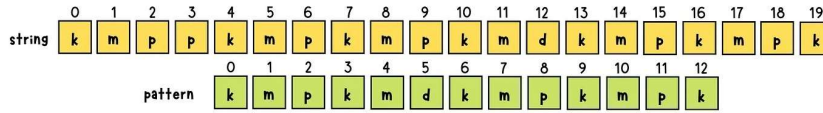


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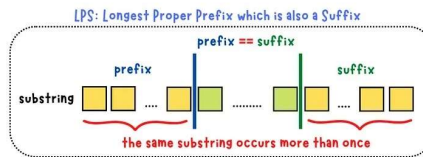
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KMP Phase 1

- Compute the LPS array with the pattern string.



1. build a LPS array



-1: no LPS is identified at the given index

pattern	k	m	p	k	m	d	k	m	p	k	m	p	k
LPS array	-1	-1	-1	0	1	-1	0	1	2	3	4	2	3

LPS[i] represents the ending index of early occurring prefix for each substring from index 0 to i in the string

KMP Phase 2

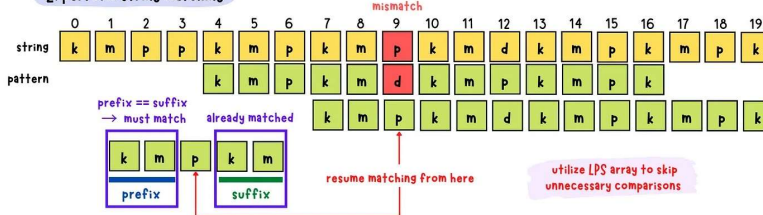
- Perform the matching. Upon a mismatch, move the pattern string with right offset to skip the partial match (already done).

-1: no LPS is identified at the given index

pattern	k	m	p	k	m	d	k	m	p	k	m	p	k
LPS array	-1	-1	-1	0	1	-1	0	1	2	3	4	2	3

LPS[i] represents the ending index of early occurring prefix for each substring from index 0 to i in the string

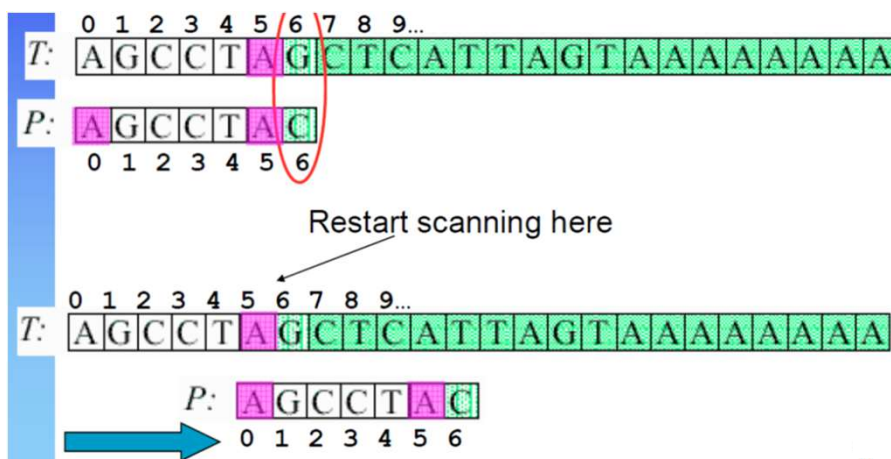
2. perform string matching



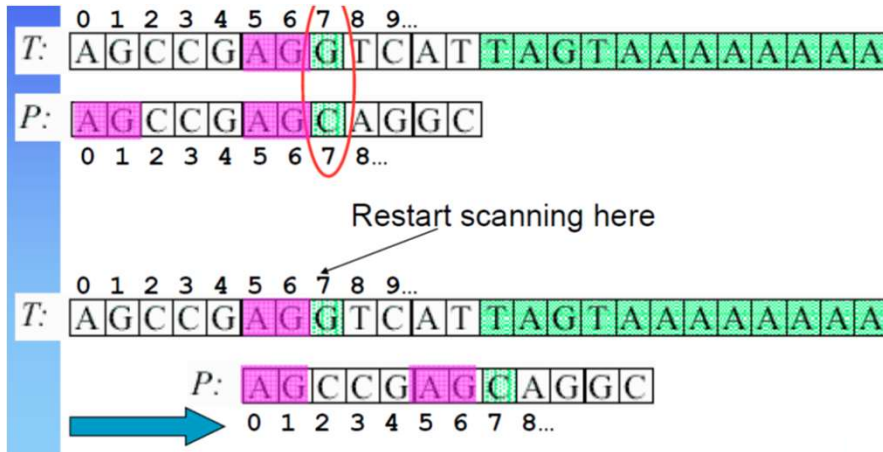
Case 1 of KMP Algorithm



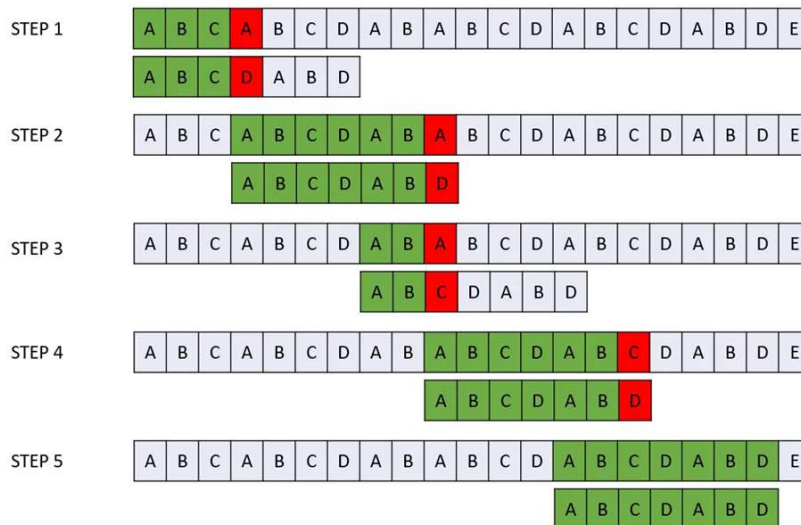
Case 2 of KMP Algorithm



Case 3 of KMP Algorithm



KMP: A Complete Example



The Failure Function

Failure Function

Action

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The KMP Algorithm

- Definition: If $p = p_0 p_1 \dots p_{n-1}$ is a pattern, then its **failure function, f** , is defined as $f(j) =$

$$\begin{cases} \text{largest } k < j \text{ such that } p_0 p_1 \dots p_k = p_{j-k} p_{j-k+1} \dots p_j & \text{if such a } k \geq 0 \text{ exists} \\ -1 & \text{otherwise} \end{cases}$$
- If a partial match is found such that $s_{i-j} \dots s_{i-1} = p_0 p_1 \dots p_{j-1}$ and $s_i \neq p_j$ then matching may be resumed by comparing s_i and $p_{f(j-1)+1}$ if $j \neq 0$. If $j = 0$, then continue by comparing s_{i+1} and p_0 .

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Failure Function Calculation

■ $j=0$

- Since $k < 0$ and $k \geq 0$, no such k exists
- $f(0) = -1$

■ $j=1$

- Since $k < 1$ and $k \geq 0$, k may be 0
- When $k=0 \rightarrow p_0=a$ and $p_1=b \rightarrow \mathbf{x}$
- $f(1) = -1$

The largest k such that

1. $k < j$
2. $k \geq 0$
3. $p_0p_1 \dots p_k = p_{j-k} \dots p_j$

j	0	1	2	3	4	5	6	7	8	9
p	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

Failure Function Calculation

■ $j=2$

- Since $k < 2$ and $k \geq 0$, k may be 0, 1
- When $k=1$ $p_0p_1=ab$ and $p_1p_2=bc \rightarrow \mathbf{x}$
- When $k=0$ $p_0=a$ and $p_2=c \rightarrow \mathbf{x}$
- $f(2) = -1$

j	0	1	2	3	4	5	6	7	8	9
p	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

$k=0$

$k=1$

Failure Function Calculation

- $j=4$
 - Since $k < 4$ and $k \geq 0$, k may be 0, 1, 2, 3
 - When $k=3$ $p_0p_1p_2p_3=abca$ and $p_1p_2p_3p_4=bcab \rightarrow \mathbf{x}$
 - When $k=2$ $p_0p_1p_2=abc$ and $p_2p_3p_4=cab \rightarrow \mathbf{x}$
 - When $k=1$ $p_0p_1=ab$ and $p_3p_4=ab \rightarrow \mathbf{ok}$
 - When $k=0$ $p_0=a$ and $p_4=b \rightarrow \mathbf{x}$
 - $f(4)=1$

j	0	1	2	3	4	5	6	7	8	9
p	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

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Failure Function Calculation

- A restatement of failure function
- $f(j)=$
 - -1 if $j=0$
 - $f^m(j-1)+1$ where m is the least integer k for which

$$P_{f^k(j-1)+1} = P_j$$

- -1 if there is no k satisfying the above
- $f^1(j)=f(j)$ $f^m(j)=f(f^{m-1}(j))$

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```

void String::FailureFunction()
{
    // failure function for string *this
    int lengthP = Length();
    f[0] = -1;
    for (int j=1; j < lengthP; j++) { // 計算 f[j]
        int i = f[j-1];
        while ((*str+j) != *(str+i+1) && (i >= 0))
            i = f[i];
        if (*(str+j) == *(str+i+1))
            f[j] = i+1;
        else f[j] = -1;
    }
}

```

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```

int String::FastFind(String pat)
{
    // determine whether pat is a substring of *this
    int posP = 0, posS = 0;
    int lengthP = pat.Length(), lengthS = Length();
    while((posP < lengthP) && (posS < lengthS))
        if (pat.str[posP] == str[posS]) { // match
            posP++; posS++;
        }
        else if (posP == 0)
            posS++;
        else
            posP = pat.f[posP-1] + 1;
    if (posP < lengthP)
        return -1;
    else
        return posS-lengthP;
}

```

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Fast String Matching Example

j	0	1	2	3	4	5	6	7	8	9
p	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

s = a b c a ? ? . . . ? ? ? ?

p = a b c a b c a c a b

1: fail at PosP=4

2: check failure function (f(3))

3: move pattern accordingly

Program 2.15 line 12
 $PosP = pat.f[PosP-1] + 1$

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Fast String Matching Example

j	0	1	2	3	4	5	6	7	8	9
p	a	b	c	a	b	c	a	c	a	b
f	-1	-1	-1	0	1	2	3	-1	0	1

s = a b c a | ? ? . . . ? ? ? ?

p = a b c a | b c a c a b

x

a b c | a b c a c a b

a b | c a b c a c a b

a | b c a b c a c a b

Imply $f(3)=2$ if
 this comparison
 is necessary

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Analysis of KMP Algorithm

- $O(m+n)$
 - $O(m)$ for computing function f
 - Program 2.16
 - $O(n)$ for searching P
 - Program 2.15
- Is it always better to use the KMP algorithm than the exhaustive search algorithm?

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Analysis of KMP Algorithm

- KMP needs $O(m)$ extra space allocation which is not good for large pattern.
- KMP also needs initial processing to compute the failure function.
- KMP has more complex inner loop than the simple search algorithm.
- These factors may make KMP several times slower than the basic $O(mn)$ algorithm.
- A good example of string processing using array and the practical considerations.

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Summary of Array Data Structure

- An **array** represents **ordered list of elements** with consecutive memory and accessed by **indexes**.
- Used in many different problems because:
 - **Simple** and **easy** to use
 - Provide **$O(1)$ random access** to any element
 - Provide natural **sequential, ordered access** by following the indexes
- One of the most **important** and **widely used** data structures.
- Almost all PL's support arrays by default.