

## CSIEB0100 Data Structures

### Lecture 05 Linked Lists

Shiow-yang Wu 吳秀陽

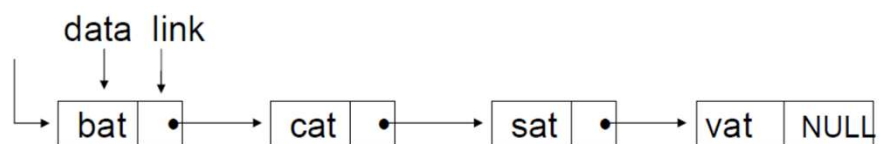
Department of Computer Science  
and Information Engineering  
National Dong Hwa University

Lecture material is partly home-grown, partly taken from slides came with the textbook originally prepared by Professor Jiun-Long Huang of NCTU.

## Introduction

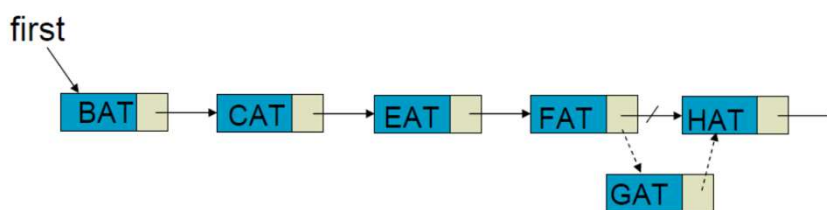
- Array
  - Successive items (homogenous) locate a fixed distance
- Disadvantage
  - Data movements during insertion and deletion
  - Waste space in storing  $n$  ordered lists of varying size
- Possible solution
  - Linked list

## Singly Linked Lists



**\*Figure 4.2:** Usual way to draw a linked list

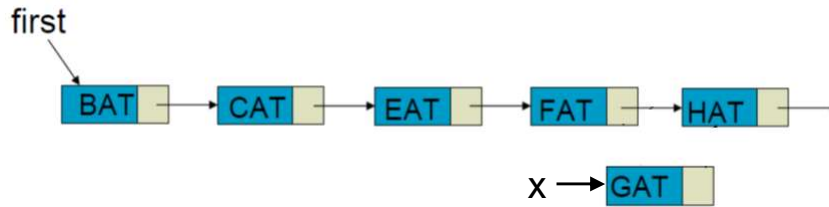
## Insertion



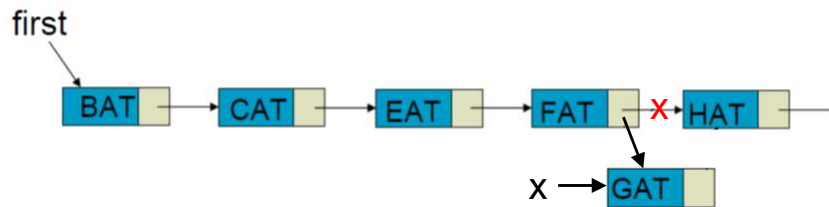
Insert GAT after FAT

## Insertion Steps

- What are the correct steps for insertion?



- What happens if we do this?

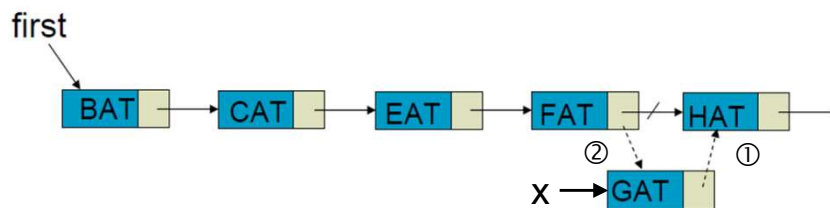


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## Right Steps of Insertion

- The key is to set up the connection **before** reassign existing connection.

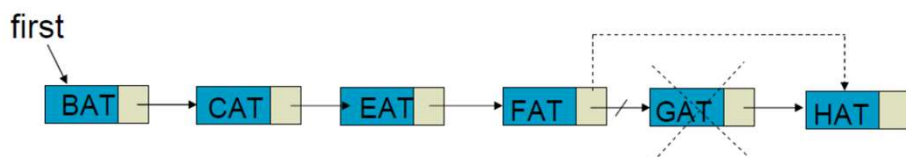


Insert GAT after FAT

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## Deletion



Delete GAT from list

- What are the correct steps?
- What about the deleted node?

## Defining a List Node in C++

```
class ThreeLetterNode
{
private:
    char data[3];
    ThreeLetterNode *link;
}
```

## Designing a List in C++

- Design Attempt 1:
  - Use a **global variable** first which is a pointer of ThreeLetterNode.
  - **Unable to access** to **private** data members: **data** and **link**.
  - A popular approach in C  

```
ThreeLetterNode *first;  
first->data, first->link
```

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## Designing a List in C++

- Design Attempt 2:
  - **Make** data members **public** or define public member functions GetLink(), SetLink() and GetData()
  - Defeat the purpose of data encapsulation
    - We should not know how the list is implemented
- An ideal solution should
  - Only grant those functions that perform list manipulation operations (i.e., inserting a node or deleting a node) access to data members

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## Designing a List in C++

- Design Attempt 3:
  - Use of **two classes**.
  - Create a class that represents the linked list.
  - The class **contains** the items (list nodes) of another objects of another class.
- A data object of Type A **HAS-A** data object of Type B if A conceptually contains B or B is a part of A
  - Computer HAS-A Processor

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## Composite Classes (initial design)

```
// forward delcarion
class ThreeLetterList;

class ThreeLetterNode {
    friend class ThreeLetterList;
private: // Node data
    char data[3];
    ThreeLetterNode * link;
};

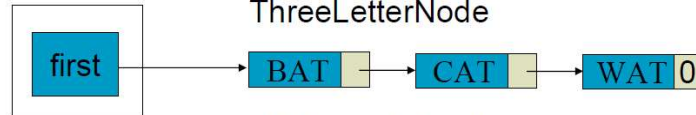
class ThreeLetterList {
public:
    // List Manipulation operations
    ...
private:
    ThreeLetterNode *first;
};
```

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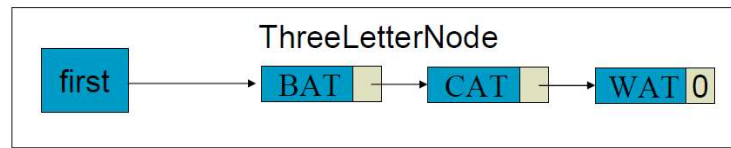
## Composite Classes

ThreeLetterList



Actual relationship

ThreeLetterList



Ideal relationship

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## Nested Classes (safer design)

- **Nested classes**
  - One class is defined inside the definition of another.
- Class *ThreeLetterNode* is defined **inside** the **private** portion of the definition of class *ThreeLetterList*
  - This ensures that *ThreeLetterNode* objects cannot be accessed outside class *ThreeLetterList*

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## Nested Classes

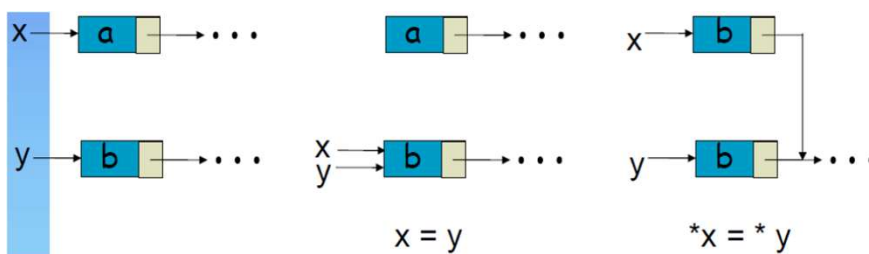
```
class ThreeLetterList {
public:
    // List Manipulation operations
    .
    .
private:
    // nested class
    class ThreeLetterNode {
    public:
        char data[3];
        ThreeLetterNode *link;
    };
    ThreeLetterNode *first;
};
```

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## Pointer Manipulation in C++

- Two pointer variables of the same type can be compared.
  - $x == y$ ,  $x != y$ ,  $x == 0$



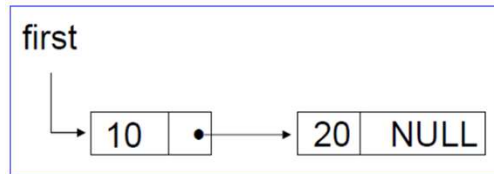
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## Create a Two-Node List

```
void List::Create2( )
{
    // create a linked list with two nodes
    first = new ListNode(10);
    first->link = new ListNode(20);
}
ListNode::ListNode(int element=0)
{
    data=element;
    link=0;
}
```



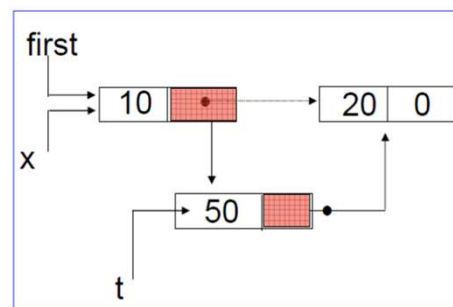
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## List Insertion

- Insert a node **after** a **specific node**

```
void List::Insert50(ListNode *x) //insert after x
{
    // insert a new node with data=50 into the list
    ListNode *t=new ListNode(50);
    if (!first) { // empty list
        first=t;
        return;
    }
    //insert after x
    t->link=x->link;
    x->link=t;
}
```

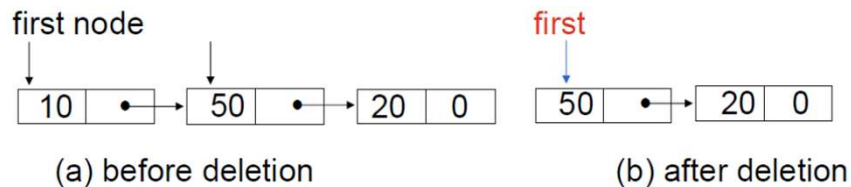


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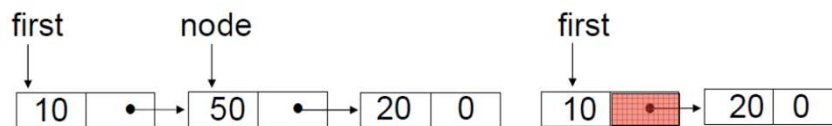
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## List Deletion

- Delete the first node ( $\text{first} = \text{first} \rightarrow \text{link}$ )



- Delete node other than the first node.



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## List Iterator

- A **list iterator** is an object that is used to **traverse** all elements of a container class.
- **ListIterator<Type>** is declared as a **friend** of both **List<Type>** and **ListNode<Type>**.
- A **ListIterator<Type>** object is initialized with the name of a **List<Type>** object  $L$  with which it will be associated.
- The **ListIterator<Type>** object contains a private data member **current** of type **ListNode<Type>\***. At all times, **current** points to a node of list  $L$ .
- The **ListIterator<Type>** object defines public member functions **NotNull()**, **NextNotNull()**, **First()**, and **Next()** to perform various tests on and to retrieve elements of list  $L$ .

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## Template of Linked List

```
enum Boolean { FALSE, TRUE };
template <class Type> class List;
template <class Type> class ListIterator;
template <class Type> class ListNode {
    friend class List<Type>;
    friend class ListIterator<Type>;
private:
    Type data;
    ListNode *link;
};
```

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## Template of Linked List

```
template <class Type> class List {
    friend class ListIterator<Type>;
public:
    List() {first = 0;};
    // List manipulation operations
    ..
private:
    ListNode<Type> *first, *last;
};
```

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## Template of ListIterator

```
template <class Type> class ListIterator {
public:
    ListIterator(const List<Type> &l):
        list(l), current(l.first)
    {};
    Boolean NotNull();
    Boolean NextNotNull();
    Type * First();
    Type * Next();
private:
    const List<Type>& list; // refers to a list
    ListNode<Type>* current; // current node in list
};
```

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## Attaching a Node at the End

```
Template <class Type>
void List<Type>::Attach(Type k)
{
    ListNode<Type> *newnode =
        new ListNode<Type>(k);
    if (first == 0)
        first = last = newnode;
    else {
        last->link = newnode;
        last = newnode;
    }
};
```

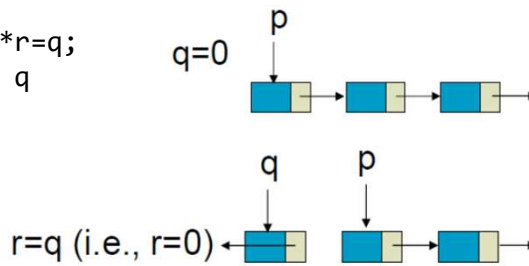
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## Inverting a List

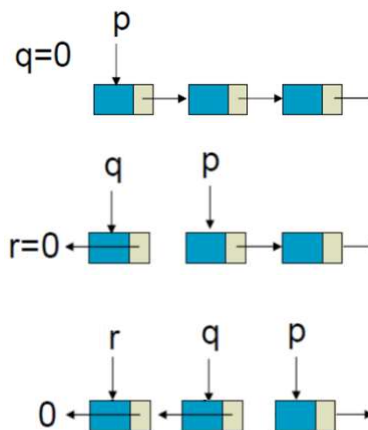
```

template <class Type>
void List<Type>:: Invert()
// A chain x is inverted so that if x=(a1,...an)
// then, after execution, x=(an,...,a1)
{
  ListNode<Type>*p = first,*q=0; //q trails p
  while (p)
  {
    ListNode<Type> *r=q;
    q=p; //r trails q
    p=p->link;
    q->link=r;
  }
  first=q;
};
    
```



## Inverting a List (visually)

- Initial case
- After 1<sup>st</sup> iteration
- After 2<sup>nd</sup> iteration
- ...
- At the end of the list ?

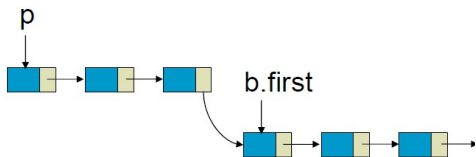


## Concatenating Two Lists

```

Template <class Type>
void List<Type>:: Concatenate(List<Type> b)
// this = (a1, ..., am) and b = (b1, ..., bn) m, n ≥ 0,
// produces the new chain z = (a1, ..., am, b1, bn) in this.
{
    if (!first) {
        first = b.first;
        return;
    }
    if (b.first) {
        for (ListNode<Type> *p = first; p->link; p = p->link);
        // no body
        p->link = b.first;
    }
}

```



first!=null && b!=null

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## List Destructor

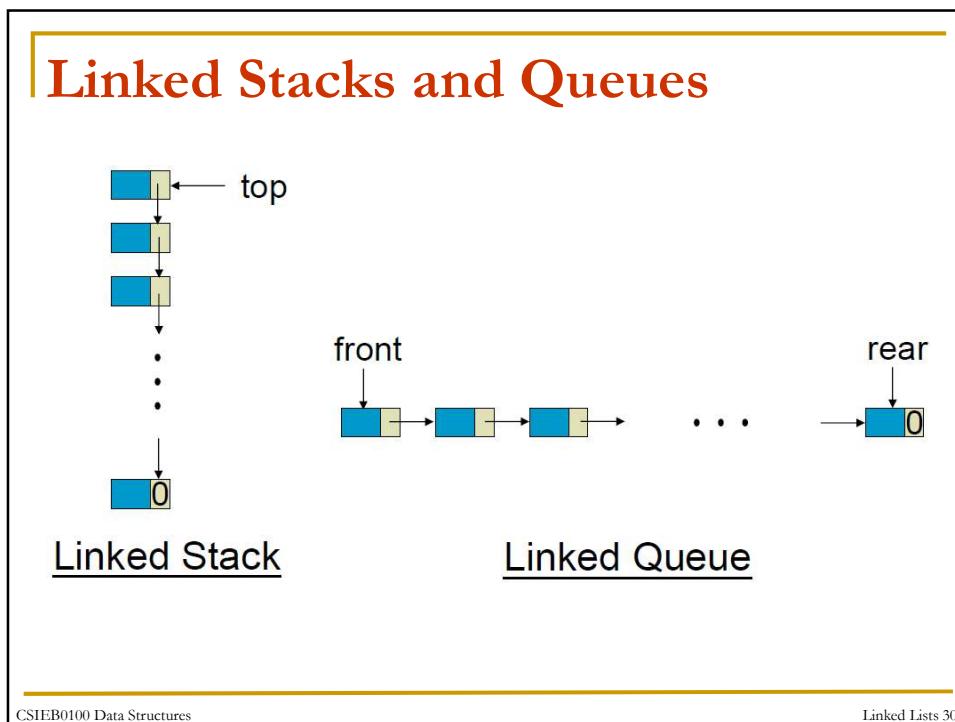
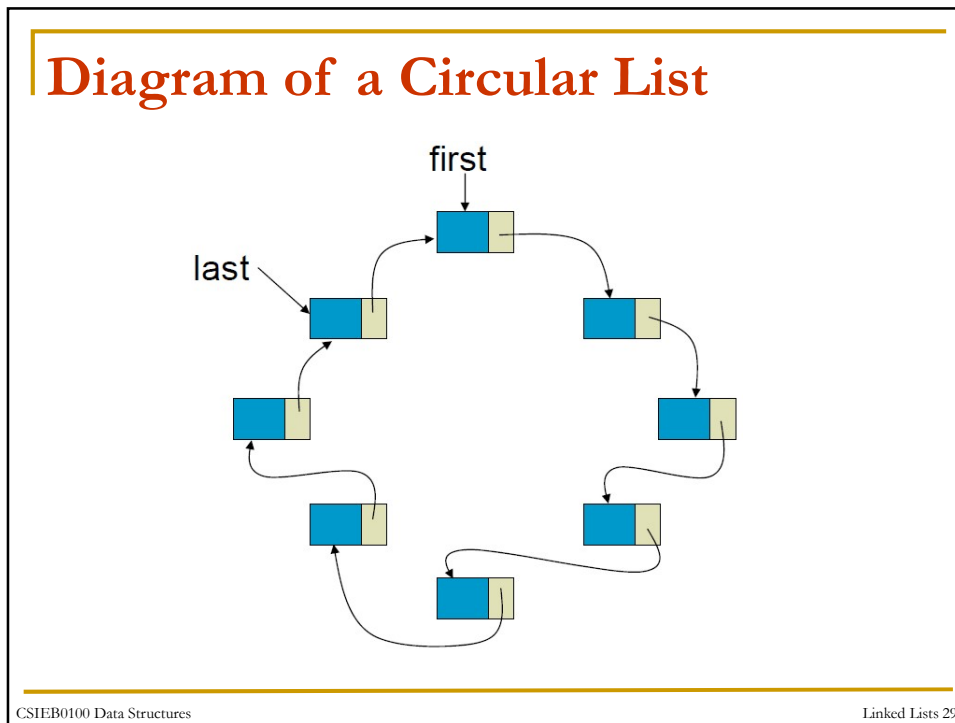
```

Template <class Type>
List<Type>::~ ~List()
// Free all nodes in the chain
{
    ListNode<Type>* next;
    for (; first; first = next) {
        next = first->link;
        delete first;
    }
}

```

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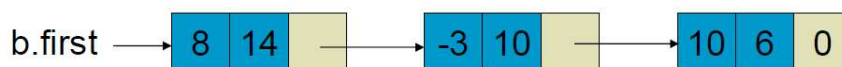
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## Revisit Polynomials



$$a = 3x^{14} + 2x^8 + 1$$



$$b = 8x^{14} - 3x^{10} + 10x^6$$

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## Polynomial Class Definition

```

struct Term
/* all members of Terms are public by default */
{
    int coef; // coefficient
    int exp; // exponent
    void Init(int c, int e)
    {coef = c; exp = e;};
};

class Polynomial
{
    friend Polynomial operator+(const Polynomial&,
                                const Polynomial&);

private:
    List<Term> poly;
};

```

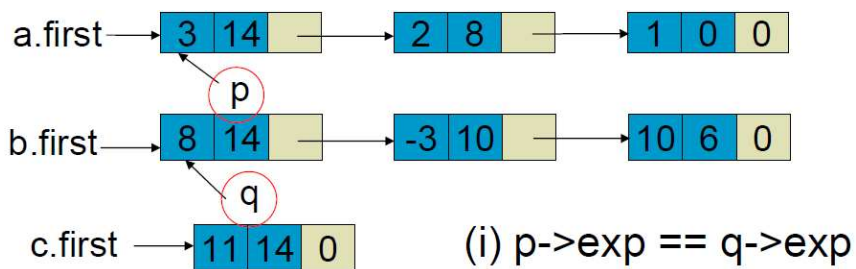
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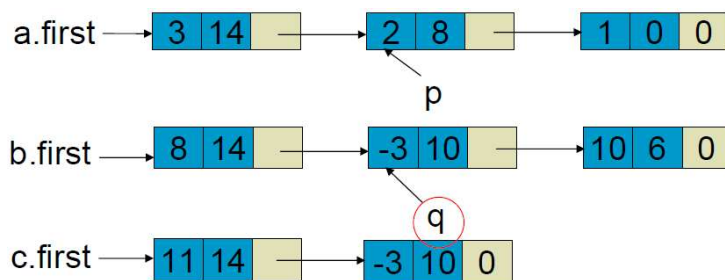


## Operating on Polynomials

- With linked lists, it is much easier to perform operations on polynomials such as adding and deleting.
  - E.g., adding two polynomials *a* and *b*

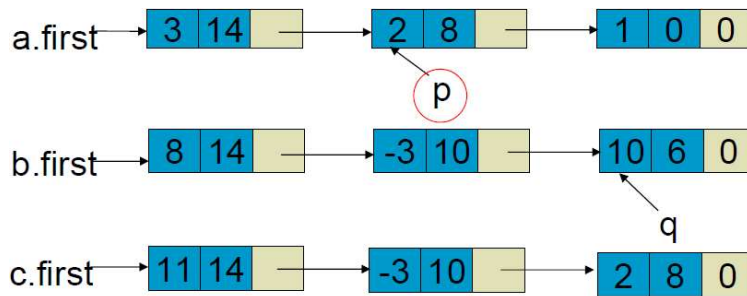


## Operating on Polynomials



(ii)  $p \rightarrow \text{exp} < q \rightarrow \text{exp}$

## Operating on Polynomials



(iii)  $p \rightarrow \text{exp} > q \rightarrow \text{exp}$

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## Free Pool (of Items)

- When items are created and deleted constantly, it is more efficient to have a **circular list** to contain all **available items**.
  - To reduce the times of creating and deleting objects
- When an item is needed, the **free pool** is checked to see if there is any item available. If yes, then an item is retrieved and assigned for use.
- If the list is empty, then either we stop allocating new items or use **new** to create more items for use.

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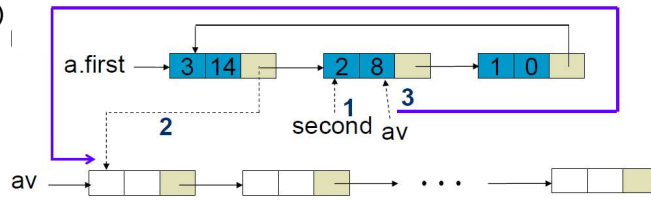
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## Template of Circular List

```

template <class Type>
void Circlist<Type>:: ~Circlist()
// Erase the circular list pointed to by first and add to av
{
    if ( first )
    {
        ListNode* second=first->link; //(1)
        first->link=av; //(2)
        av=second; //(3)
        first=0; //(4)
    }
}

```



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```

template < class Type>
ListNode <Type>* Circlist<Type>::GetNode()
// Provide a node in free pool for use
{
    ListNode <Type> *x;
    if ( !av ) // No more free node
        x = new ListNode<Type>;
    else {
        x = av;
        av = av->link;
    }
    return x;
}

```

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```

template <class Type>
Void CircList<Type>::RetNode(
ListNode<Type> *x)
//Free the node pointed to by x
{
    x->link = av;
    av = x;
}

```

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## Head Node

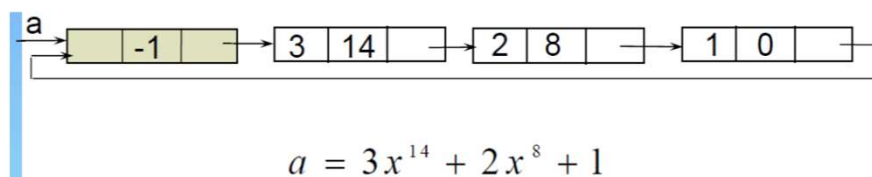
- Represent polynomial as circular list

- Zero



Zero polynomial

- Others



$$a = 3x^{14} + 2x^8 + 1$$

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## Equivalence Relation

- For an arbitrary relation by the symbol  $\equiv$
- **Reflexive**
  - If  $x \equiv x$
- **Symmetric**
  - If  $x \equiv y$ , then  $y \equiv x$
- **Transitive**
  - If  $x \equiv y$  and  $y \equiv z$ , then  $x \equiv z$
- A relation over a set,  $S$ , is said to be an **equivalence relation** over  $S$  iff it is symmetric, reflexive, and transitive over  $S$ .

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## Examples

- The “equal to” ( $=$ ) relationship is an equivalence relation since
  - $x = x$
  - $x = y$  implies  $y = x$
  - $x = y$  and  $y = z$  implies  $x = z$
- An equivalence relation is to **partition** the set  $S$  into **equivalence classes** such that two members  $x$  and  $y$  of  $S$  are in the same equivalence class iff  $x \equiv y$

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## Examples

- Treating numbers as symbols
- $0 \equiv 4, 3 \equiv 1, 6 \equiv 10, 8 \equiv 9, 7 \equiv 4,$
- $6 \equiv 8, 3 \equiv 5, 2 \equiv 11, 11 \equiv 0$
- Results in three equivalent classes  
 $\{0,2,4,7,11\}; \{1,3,5\}; \{6,8,9,10\}$

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## A Rough Algorithm to Find Equivalence Classes

```

void equivalence()
{
  initialize;
  while (there are more pairs) {
    read the next pair <i,j>;
    process this pair;
  }
  initialize the output;
  do {
    output a new equivalence class;
  } while (not done);
}

```

Phase 1

Phase 2

What kinds  
of data  
structures  
to adopt?

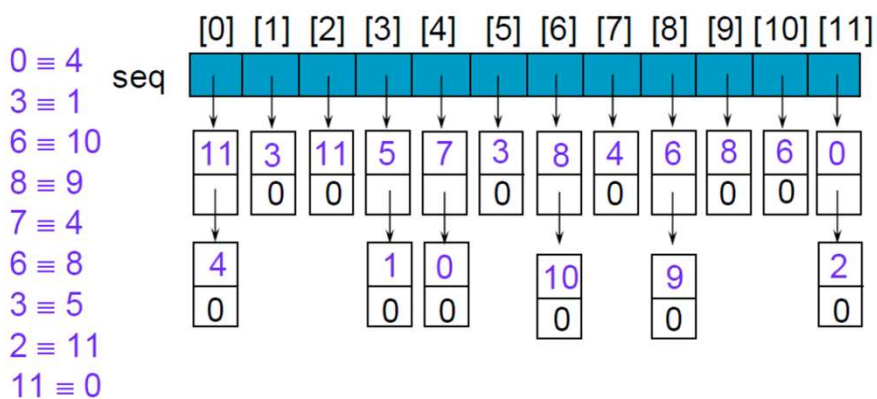
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```

void equivalence()
{
    read n; // read in number of objects
    initialize seq to 0 and out to FALSE;
    while more pairs // input pairs
    {
        read the next pair (i,j);
        insert j on seq[i] list;           direct equivalence
        insert i on seq[j] list;
    }
    for( i = 0; i < n; i++ )           Compute indirect equivalence
        if ( out[i] == FALSE) {       using transitivity
            out[i] = TRUE;
            output the equivalence class that contains object i
        }
    } // end of equivalence
    
```

### Lists after pairs have been input



```
enum Boolean { FALSE, TRUE };
class ListNode {
    friend void equivalence();
private:
    int data;
    ListNode *link;
    ListNode(int);
};
typedef ListNode *ListNodePrt;
/* so we can create an array of pointers
using new */
ListNode::ListNode(int d){
    data = d;
    link = 0;
}
```

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```
void equivalence()
/* Input the equivalence pairs and
output the equivalence classes */
{
    //”equiv.in” is the input file
    ifstream inFile(“equiv.in”, ios::in);
    if (!inFile) {
        cerr << “Cannot open file ” << endl;
        return;
    }
}
```

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```
int i, j, n;
inFile >> n; // read no. of objects
// initialize seq and out
ListNodePtr *seq = new ListNodePtr[n];
Boolean *out = new Boolean[n];
for (i=0; i < n ; i++) {
    seq[i] = 0;
    out[i] = FALSE;
}
```

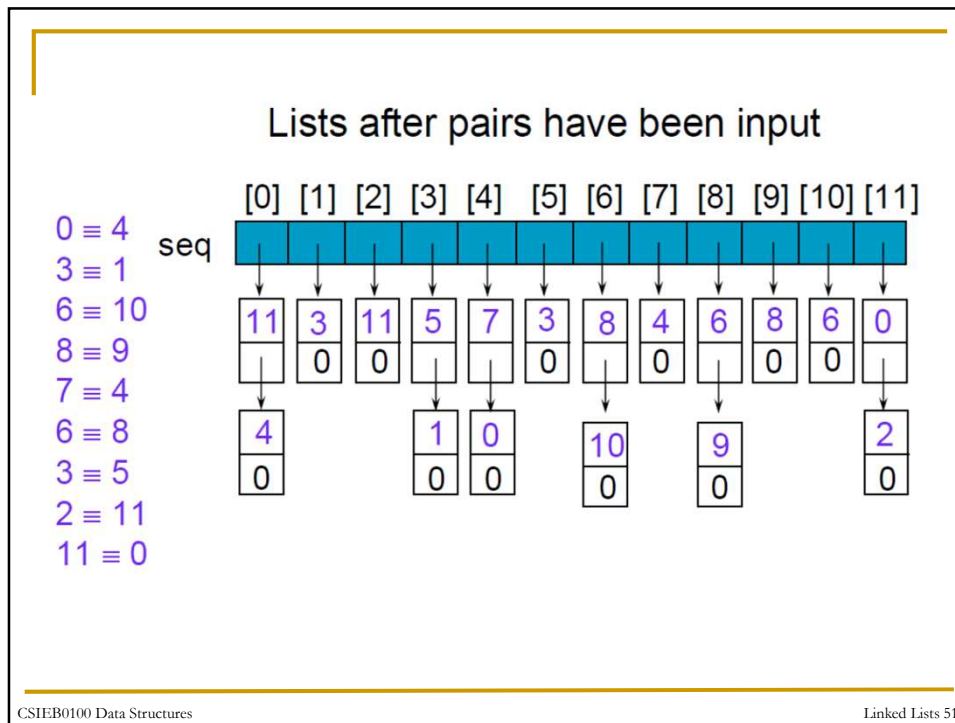
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```
// Phase 1: input equivalence pairs
inFile >> i >> j ;
while(inFile.good()) { // check EOF
    ListNode *x = new ListNode(j);
    x->link = seq[i];
    seq[i] = x; // add j to seq[i]
    ListNode *y = new ListNode(i);
    y->link = seq[j];
    seq[j] = y; // add i to seq[j]
    inFile >> i >> j;
}
```

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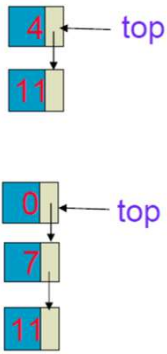
```
// Phase 2: output equivalence classes
for( i = 0; i < n; i++)
    if( out[i]==FALSE ){ // needs to be output
        cout << endl << "A new class: " << i;
        out[i] = TRUE;
        ListNode *x = seq[i];
        ListNode *top = 0; //init stack
        while(1){ // find rest of class
            while(x){ // process the list
                j = x->data;
                if( out[j]==FALSE ) {
                    cout << "," << j;
                    out[j] = TRUE;
                    ListNode *y = x->link; //next node
                }
            }
        }
    }
}
```

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```

        x->link = top;
        top = x;
        x = y;
    }
    else x = x->link;
} // end of while(x)
if( !top ) break;
else {
    x = seq[top->data];
    top = top->link; // unstack
}
} // end of while(1)
} // end of if( out[i]==FALSE )

```



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```

for( i = 0; i < n; i++ )
    while( seq[i] ) {
        ListNode *delnode = seq[i];
        seq[i] = delnode->link;
        delete delnode;
    }
}
delete [] seq;
delete [] out;
} // end of equivalence

```

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## Output of Previous Example

A new class: 0, 11, 4, 7, 2

A new class: 1, 3, 5

A new class: 6, 8, 10, 9

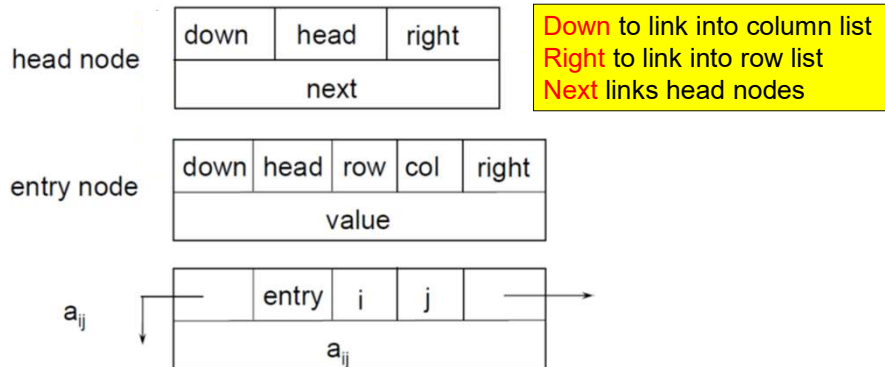
## Sparse Matrices

- Inadequates of sequential schemes
  - # of nonzero terms will vary after some matrix computation
  - Matrix just represents intermediate results
- New scheme
  - Each column (or row): a **circular linked list** with a **head** node

$$\begin{bmatrix} 0 & 0 & 11 & 0 \\ 12 & 0 & 0 & 0 \\ 0 & -4 & 0 & 0 \\ 0 & 0 & 0 & -15 \end{bmatrix}$$

## Sparse Matrices Representation

- # of head nodes =  $\max\{\text{\# of rows, \# of columns}\}$
- The field **head** is used to distinguish between head nodes and entry nodes



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## Head Nodes of Sparse Matrices

- Each head node is in **three** lists:
  - A list of rows,
  - A list of columns,
  - A list of head nodes
- The list of head nodes also has a head node (the **matrix head**) which is in entry node structure and the **row** and **column** fields of this node is used to store matrix **dimensions**.

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Matrix Head

H0

H1

H2

H3

0 2  
11

1 0  
12

2 1  
-4

3 3  
-15

For an  $n \times m$  sparse matrix with  $r$  nonzero terms, the number of nodes needed is  $\max\{n, m\} + r + 1$ .

The head node for row <sub>$i$</sub>  is also head node for column <sub>$i$</sub>

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## Another Example

- A 5x4 sparse matrix

$$\begin{bmatrix} 2 & 0 & 0 & 0 \\ 4 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \\ 8 & 0 & 0 & 1 \\ 0 & 0 & 6 & 0 \end{bmatrix}$$

H

H0

H1

H2

H3

H4

0 0 2

1 0 4

1 3 3

3 0 8

4 2 6

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```

enum Boolean { FALSE, TRUE };
struct Triple { int value, row, col ; };
class Matrix ; //forward declaration
class MatrixNode {
    friend class Matrix ;
    //for reading in a matrix
    friend istream& operator>>(istream&, Matrix&) ;
private:
    MatrixNode *down, *right ;
    Boolean head ;
    union { //anonymous union
        MatrixNode *next ; // when it is a head
        Triple triple ;    // when it is an entry
    };
    MatrixNode(Boolean, Triple *) ; //constructor
};

```

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```

typedef MatrixNode * MatrixNodePtr ;
// to allow subsequent creation of
// array of pointers
class Matrix{
    friend istream& operator>>(istream&,
Matrix&) ;
public:
    ~Matrix() ; //destructor
private:
    MatrixNode *headnode ;
};

```

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```
MatrixNode::MatrixNode( Boolean b, Triple *t)
//constructor
{
    head = b ;
    if (b) {
        // row/column head node
        right = next = down = this;
    }
    else
        // head node for list of headnodes OR
        // element node
        triple = *t ;
}
```

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## Sparse Matrix Example

	[0]	[1]	[2]
[0]	4	4	4
[1]	0	2	11
[2]	1	0	12
[3]	2	1	-4
[4]	3	3	-15

Sample input for sparse matrix

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## Doubly Linked Lists

- Move in forward and backward direction.
  - Singly linked list in one direction only
- How to get the preceding node during deletion or insertion?
  - Using 2 pointers
- Node in doubly linked list
  - **left link** field (llink)
  - data field (item)
  - **right link** field (rlink)

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## Doubly vs. Singly Linked List

- Can operate on both ends
- Need extra space for additional pointers
- Insertion/Deletion need extra work
- Node deletion requires no additional pointers
- Can work as a Queue and a Stack at the same time. (How?)

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```

class Dbllist ;
class DbllistNode {
    friend class Dbllist ;
    private:
        int data ;
        DbllistNode *llink, *rlink ;
};
class Dbllist {
    public:
        //List manipulation operations
    private:
        //points to head node
        DbllistNode *head ;
};

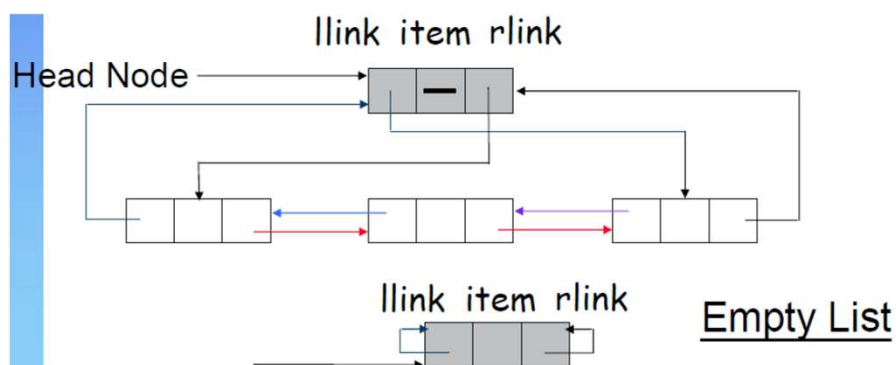
```

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## Doubly Linked Lists

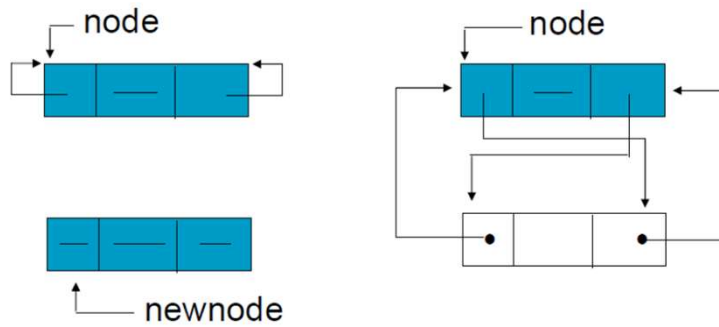
- A head node is also used in a doubly linked list to allow us to implement our operations more easily.



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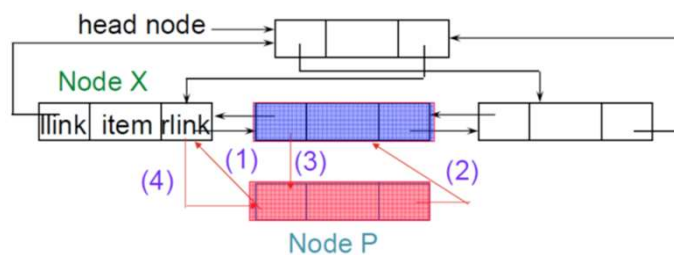
## Insertion



Insertion into an empty doubly linked circular list

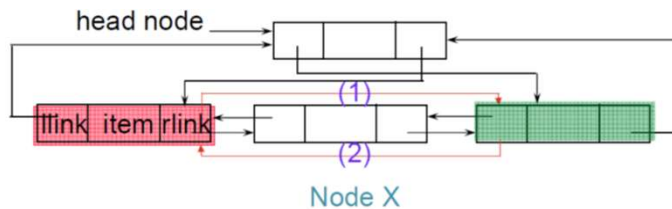
```

void Dbllist::Insert(DbllistNode *p,
DbllistNode *x)
//insert node p to the right of node x
{
    p->llink = x ; //(1)
    p->rlink = x->rlink ; //(2)
    x->rlink->llink = p ; //(3)
    x->rlink = p ; //(4)
}
    
```



## Deletion

```
void Dbllist::Delete(DbllistNode *x) {
    if(x == first)
        cerr << "Deletion of head node not permitted"
              << endl;
    else {
        x->llink->rlink = x->rlink; //(1)
        x->rlink->llink = x->llink; //(2)
        delete x;
    }
}
```



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## Reverse

- Reverse a doubly linked list is a good exercise to test your understanding of the structure.

```
void Dbllist::Reverse() {
    DbllistNode *temp = NULL;
    DbllistNode *current = ...; //exercise!
    //swap llink and rlink for all nodes
    while (current != ...) {
        temp = current->llink;
        current->llink = current->rlink;
        current->rlink = temp;
        current = current->llink;
    }
    ... //exercise!
}
```

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## Generalized Lists

- A **generalized list** is a finite sequence of  $n$  elements  $(a_0, \dots, a_{n-1})$  where  $a_i$  is either an atom or a list.
- The elements that are not atoms are said to be **sublists**.
- Self-study.

## Inventor of Linked List

- **1953**: Idea of Linked List was published by **Hans Peter Luhn** from IBM. (also the inventor of Hash Map)
- **1955**: Linked List was implemented in a production software by **Allen Newell**, **Cliff Shaw** and **Herbert Simon** from RAND Corporation.
- The 4 people above are credited as the inventors of Linked List.
- **1958**: LISP was developed by John McCarthy at MIT. Linked List was a major component of LISP design.

## Advantages & Disadvantages

### ■ Advantages:

- **Dynamic:** Linked lists can change size dynamically.
- **Effective insertion and deletion:** Inserting or removing elements can be done quickly and efficiently.  $O(1)$
- **Memory efficiency:** Linked lists don't require contiguous memory allocation.
- **Flexibility:** Linked lists offer a lot of versatility.

### ■ Disadvantages:

- **Sequential access:** Linked lists have poor cache locality which results in significant overhead.
- **Absence of random access:** Accessing entries directly from an index is impossible.
- **Complexity:** The implementation of linked lists can be more difficult than those of arrays.

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## Applications of Linked Lists

- **Implementation of other Data Structures:** Many data structures can be implemented using the linked lists.
- **Memory Management:** Linked Lists can be used in memory management systems for allocating and reallocating memory.
- **File Systems:** File systems can be represented using linked lists. A node represents a file or directory; the links signify the parent-child relationships between the files and directories.
- **Graphs and Charts:** Graphs can be represented by Linked Lists, where nodes are vertices and the links are edges.
- **Making music playlists:** Linked Lists are frequently used to build music playlists. A node represents a song, and the list indicates the order in which the songs are played.
- **Picture Processing Method:** Picture processing methods can be implemented using linked lists, where a node represents each pixel.
- ...

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